

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

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**FORM N-PX**

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**ANNUAL REPORT OF PROXY VOTING RECORD OF  
REGISTERED MANAGEMENT INVESTMENT COMPANY**

Investment Company Act File Number: 811-07923

**City National Rochdale Funds**

(Exact name of registrant as specified in charter)

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400 North Roxbury Drive  
Beverly Hills, CA 90210  
(Address of principal executive offices)

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Michael Lukaj  
400 North Roxbury Drive  
Beverly Hills, CA 90210  
(Name and address of agent for service)

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Registrant's telephone number, including area code: 1-888-889-0799

Date of Fiscal Year End: September 30

Date of Reporting Period: July 1, 2019 to June 30, 2020

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**Item 1. Proxy Voting Record.**

*Attached are the proxy voting records for the following funds,  
each of which is a series of City National Rochdale Funds:*

**City National Rochdale California Tax Exempt Bond Fund**

**City National Rochdale Corporate Bond Fund**

**City National Rochdale Dividend & Income Fund**

**City National Rochdale Fixed Income Opportunities Fund**

**City National Rochdale Government Bond Fund**

**City National Rochdale Government Money Market Fund**

**City National Rochdale High Yield Bond Fund**

*(The City National Rochdale High Yield Bond Fund was liquidated on September 30, 2019)*

**City National Rochdale Intermediate Fixed Income Fund**

**City National Rochdale Municipal High Income Fund**

**City National Rochdale Short Term Emerging Markets Debt Fund**

**City National Rochdale U.S. Core Equity Fund**

## **Non-Voting Funds**

### **City National Rochdale California Tax Exempt Bond Fund**

California Tax Exempt Bond Fund Invests In Securities That Do Not Have Voting Rights.  
No Votes Have Been Cast On Securities By This Fund During the Reporting Period.

### **City National Rochdale Corporate Bond Fund**

Corporate Bond Fund Invests In Securities That Do Not Have Voting Rights.  
No Votes Have Been Cast On Securities By This Fund During the Reporting Period.

### **City National Rochdale Government Bond Fund**

Government Bond Fund Invests In Securities That Do Not Have Voting Rights.  
No Votes Have Been Cast On Securities By This Fund During the Reporting Period.

### **City National Rochdale Government Money Market Fund**

Government Money Market Fund Invests In Securities That Do Not Have Voting Rights.  
No Votes Have Been Cast On Securities By This Fund During the Reporting Period.

### **City National Rochdale High Yield Bond Fund**

High Yield Bond Fund Invests In Securities That Do Not Have Voting Rights.  
No Votes Have Been Cast On Securities By This Fund During the Reporting Period.

### **City National Rochdale Intermediate Fixed Income Fund**

Intermediate Fixed Income Fund Invests In Securities That Do Not Have Voting Rights.  
No Votes Have Been Cast On Securities By This Fund During the Reporting Period.

### **City National Rochdale Short Term Emerging Markets Debt Fund**

Short Term Emerging Markets Debt Fund Invests In Securities That Do Not Have Voting Rights.  
No Votes Have Been Cast On Securities By This Fund During the Reporting Period.

# City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>ALTRIA GROUP, INC.</b>			
<i>Security ID: 02209S103 Ticker: MO</i>			
Meeting Date: 14-May-20		Meeting Type: Annual	
1a. Election of Director: John T. Casteen III	Management	For	Voted - For
1b. Election of Director: Dinyar S. Devitre	Management	For	Voted - For
1c. Election of Director: Thomas F. Farrell II	Management	For	Voted - For
1d. Election of Director: Debra J. Kelly-Ennis	Management	For	Voted - For
1e. Election of Director: W. Leo Kiely III	Management	For	Voted - For
1f. Election of Director: Kathryn B. McQuade	Management	For	Voted - For
1g. Election of Director: George Muñoz	Management	For	Voted - For
1h. Election of Director: Mark E. Newman	Management	For	Voted - For
1i. Election of Director: Nabil Y. Sakkab	Management	For	Voted - For
1j. Election of Director: Virginia E. Shanks	Management	For	Voted - For
1k. "Director withdrawn"	Management	For	Voted - For
2. Ratification of the Selection of Independent Registered Public Accounting Firm	Management	For	Voted - For
3. Non-Binding Advisory Vote to Approve the Compensation of Altria's Named Executive Officers	Management	For	Voted - For
4. Approval of the 2020 Performance Incentive Plan	Management	For	Voted - For
5. Shareholder Proposal - Disclosure of Lobbying Policies and Practices	Shareholder	Against	Voted - Against
6. Shareholder Proposal - Report on the Company's Underage Tobacco Prevention Policies	Shareholder	Against	Voted - Against

## AMERICAN ELECTRIC POWER COMPANY, INC.

*Security ID: 025537101 Ticker: AEP*

Meeting Date: 21-Apr-20 Meeting Type: Annual

1A. Election of Director: Nicholas K. Akins	Management	For	Voted - For
1B. Election of Director: David J. Anderson	Management	For	Voted - For
1C. Election of Director: J. Barnie Beasley, Jr.	Management	For	Voted - For
1D. Election of Director: Ralph D. Crosby, Jr.	Management	For	Voted - For
1E. Election of Director: Art A. Garcia	Management	For	Voted - For
1F. Election of Director: Linda A. Goodspeed	Management	For	Voted - For
1G. Election of Director: Thomas E. Hoaglin	Management	For	Voted - For
1H. Election of Director: Sandra Beach Lin	Management	For	Voted - For
1I. Election of Director: Margaret M. McCarthy	Management	For	Voted - For
1J. Election of Director: Richard C. Notebaert	Management	For	Voted - For
1K. Election of Director: Stephen S. Rasmussen	Management	For	Voted - For
1L. Election of Director: Oliver G. Richard III	Management	For	Voted - For
1M. Election of Director: Sara Martinez Tucker	Management	For	Voted - For
2. Ratification of the appointment of PricewaterhouseCoopers LLP as the Company's independent registered public accounting firm for the fiscal year ending December 31, 2020.	Management	For	Voted - For

## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
3. Advisory approval of the Company's executive compensation.	Management	For	Voted - For

### ARES CAPITAL CORPORATION

Security ID: 04010L103 Ticker: ARCC

Meeting Date: 08-Jul-19 Meeting Type: Special

1. To authorize the Company, with the approval of its board of directors, to sell or otherwise issue shares of its common stock at a price below its then current net asset value per share subject to the limitations set forth in the proxy statement for the special meeting of stockholders (including, without limitation, that the number of shares issued does not exceed 25% of the Company's then outstanding common stock).	Management	For	Voted - For
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Meeting Date: 22-Jun-20 Meeting Type: Annual

1A. Election of Director: Michael J Arougheti	Management	For	Voted - For
1B. Election of Director: Ann Torre Bates	Management	For	Voted - For
1C. Election of Director: Steven B McKeever	Management	For	Voted - For
2. To ratify the selection of KPMG LLP as the Company's independent registered public accounting firm for the year ending December 31, 2020.	Management	For	Voted - For

### ARTHUR J. GALLAGHER & CO.

Security ID: 363576109 Ticker: AJG

Meeting Date: 12-May-20 Meeting Type: Annual

1A. Election of Director: Sherry S. Barrat	Management	For	Voted - For
1B. Election of Director: William L. Bax	Management	For	Voted - For
1C. Election of Director: D. John Coldman	Management	For	Voted - For
1D. Election of Director: Frank E. English, Jr.	Management	For	Voted - For
1E. Election of Director: J. Patrick Gallagher, Jr.	Management	For	Voted - For
1F. Election of Director: David S. Johnson	Management	For	Voted - For
1G. Election of Director: Kay W. McCurdy	Management	For	Voted - For
1H. Election of Director: Christopher C. Miskel	Management	For	Voted - For
1I. Election of Director: Ralph J. Nicoletti	Management	For	Voted - For
1J. Election of Director: Norman L. Rosenthal	Management	For	Voted - For
2. Ratification of the Appointment of Ernst & Young LLP as our Independent Auditor for the fiscal year ending December 31, 2020.	Management	For	Voted - For
3. Approval, on an Advisory Basis, of the Compensation of our Named Executive Officers.	Management	For	Voted - For
4. Stockholder Proposal: Diversity Search Policy.	Shareholder	Against	Voted - For

# City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>AT&amp;T INC.</b>			
<i>Security ID: 00206R102 Ticker: T</i>			
Meeting Date: 24-Apr-20		Meeting Type: Annual	
1A. Election of Director: Randall L. Stephenson	Management	For	Voted - For
1B. Election of Director: Samuel A. Di Piazza, Jr.	Management	For	Voted - For
1C. Election of Director: Richard W. Fisher	Management	For	Voted - For
1D. Election of Director: Scott T. Ford	Management	For	Voted - For
1E. Election of Director: Glenn H. Hutchins	Management	For	Voted - For
1F. Election of Director: William E. Kennard	Management	For	Voted - For
1G. Election of Director: Debra L. Lee	Management	For	Voted - For
1H. Election of Director: Stephen J. Luczo	Management	For	Voted - For
1I. Election of Director: Michael B. McCallister	Management	For	Voted - For
1J. Election of Director: Beth E. Mooney	Management	For	Voted - For
1K. Election of Director: Matthew K. Rose	Management	For	Voted - For
1L. Election of Director: Cynthia B. Taylor	Management	For	Voted - For
1M. Election of Director: Geoffrey Y. Yang	Management	For	Voted - For
2. Ratification of appointment of independent auditors.	Management	For	Voted - For
3. Advisory approval of executive compensation.	Management	For	Voted - For
4. Independent Board Chairman.	Shareholder	Against	Voted - Against
5. Employee Representative Director.	Shareholder	Against	Voted - Against
6. Improve Guiding Principles of Executive Compensation.	Shareholder	Against	Voted - Against
<b>AVANGRID, INC.</b>			
<i>Security ID: 05351W103 Ticker: AGR</i>			
Meeting Date: 22-Jun-20		Meeting Type: Annual	
1.1 Director: Ignacio S. Galán	Management	For	Voted - For
1.2 Director: John Baldacci	Management	For	Voted - For
1.3 Director: Daniel Alcain López	Management	For	Voted - For
1.4 Director: Pedro Azagra Blázquez	Management	For	Voted - For
1.5 Director: Robert Duffy	Management	For	Voted - For
1.6 Director: Teresa Herbert	Management	For	Voted - For
1.7 Director: Patricia Jacobs	Management	For	Voted - For
1.8 Director: John Lahey	Management	For	Voted - For
1.9 Director: José Ángel M. Rodríguez	Management	For	Voted - For
1.10 Director: Santiago M. Garrido	Management	For	Voted - For
1.11 Director: José Sáinz Armada	Management	For	Voted - For
1.12 Director: Alan Solomont	Management	For	Voted - For
1.13 Director: Elizabeth Timm	Management	For	Voted - For
2. RATIFICATION OF THE SELECTION OF KPMG LLP AS AVANGRID, INC.'S INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM FOR THE YEAR ENDING DECEMBER 31, 2020.	Management	For	Voted - For
3. NON-BINDING ADVISORY VOTE TO APPROVE THE COMPENSATION OF THE NAMED EXECUTIVE OFFICERS.	Management	For	Voted - For

# City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>BB&amp;T CORPORATION</b>			
<i>Security ID: 054937107 Ticker: BBT</i>			
Meeting Date: 30-Jul-19		Meeting Type: Special	
1. Proposal to approve the Amended Agreement and Plan of Merger, dated as of February 7, 2019, as amended as of June 14, 2019 (as further amended from time to time, the "Merger Agreement"), by and between BB&T and SunTrust Banks, Inc. and the transactions contemplated thereby (the "BB&T merger proposal").	Management	For	Voted - For
2. Proposal to approve an amendment to BB&T's articles of incorporation to effect the name change of BB&T to "Truist Financial Corporation", effective only upon the completion of the merger (the "BB&T name change proposal").	Management	For	Voted - For
3. Proposal to adjourn the BB&T special meeting, if necessary or appropriate, to solicit additional proxies if, immediately prior to such adjournment, there are not sufficient votes to approve the BB&T merger proposal or to ensure that any supplement or amendment to the accompanying joint proxy statement/prospectus is timely provided to holders of BB&T common stock (the "BB&T adjournment proposal").	Management	For	Voted - For
<b>BCE INC.</b>			
<i>Security ID: 05534B760 Ticker: BCE</i>			
Meeting Date: 07-May-20		Meeting Type: Annual	
1.1 Director: BARRY K. ALLEN	Management	For	Voted - For
1.2 Director: MIRKO BIBIC	Management	For	Voted - For
1.3 Director: SOPHIE BROCHU	Management	For	Voted - For
1.4 Director: ROBERT E. BROWN	Management	For	Voted - For
1.5 Director: DAVID F. DENISON	Management	For	Voted - For
1.6 Director: ROBERT P. DEXTER	Management	For	Voted - For
1.7 Director: IAN GREENBERG	Management	For	Voted - For
1.8 Director: KATHERINE LEE	Management	For	Voted - For
1.9 Director: MONIQUE F. LEROUX	Management	For	Voted - For
1.10 Director: GORDON M. NIXON	Management	For	Voted - For
1.11 Director: THOMAS E. RICHARDS	Management	For	Voted - For
1.12 Director: CALIN ROVINESCU	Management	For	Voted - For
1.13 Director: KAREN SHERIFF	Management	For	Voted - For
1.14 Director: ROBERT C. SIMMONDS	Management	For	Voted - For
1.15 Director: PAUL R. WEISS	Management	For	Voted - For
02 APPOINTMENT OF DELOITTE LLP AS AUDITORS.	Management	For	Voted - For
03 ADVISORY RESOLUTION ON EXECUTIVE COMPENSATION AS DESCRIBED IN THE MANAGEMENT PROXY CIRCULAR.	Management	For	Voted - For
04 SHAREHOLDER PROPOSAL: INCREASE OF GENDER DIVERSITY TARGET.	Shareholder	Against	Voted - Against

# City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>BRISTOL-MYERS SQUIBB COMPANY</b>			
<i>Security ID: 110122108 Ticker: BMY</i>			
Meeting Date: 05-May-20		Meeting Type: Annual	
1A. Election of Director: Peter J. Arduini	Management	For	Voted - For
1B. Election of Director: Robert Bertolini	Management	For	Voted - For
1C. Election of Director: Michael W. Bonney	Management	For	Voted - For
1D. Election of Director: Giovanni Caforio, M.D.	Management	For	Voted - For
1E. Election of Director: Matthew W. Emmens	Management	For	Voted - For
1F. Election of Director: Julia A. Haller, M.D.	Management	For	Voted - For
1G. Election of Director: Dinesh C. Paliwal	Management	For	Voted - For
1H. Election of Director: Theodore R. Samuels	Management	For	Voted - For
1I. Election of Director: Vicki L. Sato, Ph.D.	Management	For	Voted - For
1J. Election of Director: Gerald L. Storch	Management	For	Voted - For
1K. Election of Director: Karen H. Vousden, Ph.D.	Management	For	Voted - For
1L. Election of Director: Phyllis R. Yale	Management	For	Voted - For
2. Advisory vote to approve the compensation of our Named Executive Officers.	Management	For	Voted - For
3. Ratification of the appointment of an independent registered public accounting firm.	Management	For	Voted - For
4. Shareholder Proposal on Separate Chair & CEO.	Shareholder	Against	Voted - Against
5. Shareholder Proposal on Shareholder Right to Act by Written Consent.	Shareholder	Against	Voted - For
<b>CENTERPOINT ENERGY, INC.</b>			
<i>Security ID: 15189T107 Ticker: CNP</i>			
Meeting Date: 24-Apr-20		Meeting Type: Annual	
1A. Election of Director: Leslie D. Biddle	Management	For	Voted - For
1B. Election of Director: Milton Carroll	Management	For	Voted - For
1C. Election of Director: Scott J. McLean	Management	For	Voted - For
1D. Election of Director: Martin H. Nesbitt	Management	For	Voted - For
1E. Election of Director: Theodore F. Pound	Management	For	Voted - For
1F. Election of Director: Susan O. Rheney	Management	For	Voted - For
1G. Election of Director: Phillip R. Smith	Management	For	Voted - For
1H. Election of Director: John W. Somerhalder II	Management	For	Voted - For
2. Ratify the appointment of Deloitte & Touche LLP as the independent registered public accounting firm for 2020.	Management	For	Voted - For
3. Approve the advisory resolution on executive compensation.	Management	For	Voted - For
4. Approve the amendment to the CenterPoint Energy, Inc. Stock Plan for Outside Directors.	Management	For	Voted - For



# City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>CINCINNATI FINANCIAL CORPORATION</b>			
<i>Security ID: 172062101 Ticker: CINF</i>			
Meeting Date: 04-May-20		Meeting Type: Annual	
1A. Election of Director: Thomas J. Aaron	Management	For	Voted - For
1B. Election of Director: William F. Bahl	Management	For	Voted - For
1C. Election of Director: Linda W. Clement- Holmes	Management	For	Voted - For
1D. Election of Director: Dirk J. Debbink	Management	For	Voted - For
1E. Election of Director: Steven J. Johnston	Management	For	Voted - For
1F. Election of Director: Kenneth C. Lichtendahl	Management	For	Voted - For
1G. Election of Director: Jill P. Meyer	Management	For	Voted - For
1H. Election of Director: David P. Osborn	Management	For	Voted - For
1I. Election of Director: Gretchen W. Price	Management	For	Voted - For
1J. Election of Director: Thomas R. Schiff	Management	For	Voted - For
1K. Election of Director: Douglas S. Skidmore	Management	For	Voted - For
1L. Election of Director: John F. Steele, Jr.	Management	For	Voted - For
1M. Election of Director: Larry R. Webb	Management	For	Voted - For
2. A nonbinding proposal to approve compensation for the company's named executive officers.	Management	For	Voted - For
3. Ratification of the selection of Deloitte & Touche LLP as the company's independent registered public accounting firm for 2020.	Management	For	Voted - For
<b>COMPASS DIVERSIFIED HOLDINGS</b>			
<i>Security ID: 20451Q104 Ticker: CODI</i>			
Meeting Date: 27-May-20		Meeting Type: Annual	
1.1 Director: James J. Bottiglieri	Management	For	Voted - For
1.2 Director: Gordon M. Burns	Management	For	Voted - For
2. To approve, on a non-binding and advisory basis, the resolution approving the compensation of our named executive officers as disclosed in the Proxy Statement ("Say-on- Pay Vote").	Management	For	Voted - For
3. To ratify the appointment of Grant Thornton LLP to serve as the independent auditor for the Company and the Trust for the fiscal year ending December 31, 2020.	Management	For	Voted - For
<b>CROWN CASTLE INTERNATIONAL CORP</b>			
<i>Security ID: 22822V101 Ticker: CCI</i>			
Meeting Date: 14-May-20		Meeting Type: Annual	
1A. Election of Director: P. Robert Bartolo	Management	For	Voted - For
1B. Election of Director: Jay A. Brown	Management	For	Voted - For
1C. Election of Director: Cindy Christy	Management	For	Voted - For
1D. Election of Director: Ari Q. Fitzgerald	Management	For	Voted - For
1E. Election of Director: Robert E. Garrison II	Management	For	Voted - For

## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1F. Election of Director: Andrea J. Goldsmith	Management	For	Voted - For
1G. Election of Director: Lee W. Hogan	Management	For	Voted - For
1H. Election of Director: Edward C. Hutcheson, Jr.	Management	For	Voted - For
1I. Election of Director: J. Landis Martin	Management	For	Voted - For
1J. Election of Director: Robert F. McKenzie	Management	For	Voted - For
1K. Election of Director: Anthony J. Melone	Management	For	Voted - For
1L. Election of Director: W. Benjamin Moreland	Management	For	Voted - For
2. The ratification of the appointment of PricewaterhouseCoopers LLP as the Company's independent registered public accountants for fiscal year 2020.	Management	For	Voted - For
3. The non-binding, advisory vote to approve the compensation of the Company's named executive officers.	Management	For	Voted - For

### DOMINION ENERGY, INC.

Security ID: 25746U109 Ticker: D

Meeting Date: 06-May-20 Meeting Type: Annual

1A. Election of Director: James A. Bennett	Management	For	Voted - For
1B. Election of Director: Helen E. Dragas	Management	For	Voted - For
1C. Election of Director: James O. Ellis, Jr.	Management	For	Voted - For
1D. Election of Director: Thomas F. Farrell, II	Management	For	Voted - For
1E. Election of Director: D. Maybank Hagood	Management	For	Voted - For
1F. Election of Director: John W. Harris	Management	For	Voted - For
1G. Election of Director: Ronald W. Jibson	Management	For	Voted - For
1H. Election of Director: Mark J. Kington	Management	For	Voted - For
1I. Election of Director: Joseph M. Rigby	Management	For	Voted - For
1J. Election of Director: Pamela J. Royal, M.D.	Management	For	Voted - For
1K. Election of Director: Robert H. Spilman, Jr.	Management	For	Voted - For
1L. Election of Director: Susan N. Story	Management	For	Voted - For
1M. Election of Director: Michael E. Szymanczyk	Management	For	Voted - For
2. Ratification of Appointment of Independent Auditor.	Management	For	Voted - For
3. Advisory Vote on Approval of Executive Compensation (Say on Pay).	Management	For	Voted - For
4. Shareholder Proposal Regarding a Policy to Require an Independent Chair	Shareholder	Against	Voted - Against
5. Shareholder Proposal Regarding the Right of Shareholders to Act by Written Consent	Shareholder	Against	Voted - Against

### DUKE ENERGY CORPORATION

Security ID: 26441C204 Ticker: DUK

Meeting Date: 07-May-20 Meeting Type: Annual

1.1 Director: Michael G. Browning	Management	For	Voted - For
1.2 Director: Annette K. Clayton	Management	For	Voted - For
1.3 Director: Theodore F. Craver, Jr.	Management	For	Voted - For
1.4 Director: Robert M. Davis	Management	For	Voted - For

## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1.5 Director: Daniel R. DiMicco	Management	For	Voted - For
1.6 Director: Nicholas C. Fanandakis	Management	For	Voted - For
1.7 Director: Lynn J. Good	Management	For	Voted - For
1.8 Director: John T. Herron	Management	For	Voted - For
1.9 Director: William E. Kennard	Management	For	Voted - For
1.10 Director: E. Marie McKee	Management	For	Voted - For
1.11 Director: Marya M. Rose	Management	For	Voted - For
1.12 Director: Thomas E. Skains	Management	For	Voted - For
1.13 Director: William E. Webster, Jr.	Management	For	Voted - For
2. Ratification of Deloitte & Touche LLP as Duke Energy's independent registered public accounting firm for 2020	Management	For	Voted - For
3. Advisory vote to approve Duke Energy's named executive officer compensation	Management	For	Voted - For
4. Shareholder proposal regarding independent board chair	Shareholder	Against	Voted - Against
5. Shareholder proposal regarding elimination of supermajority voting provisions in Duke Energy's Certificate of Incorporation	Shareholder	Against	Voted - For
6. Shareholder proposal regarding providing a semiannual report on Duke Energy's political contributions and expenditures	Shareholder	Against	Voted - Against
7. Shareholder proposal regarding providing an annual report on Duke Energy's lobbying payments	Shareholder	Against	Voted - Against

### EATON CORPORATION PLC

Security ID: G29183103 Ticker: ETN

Meeting Date: 22-Apr-20 Meeting Type: Annual

1A. Election of Director: Craig Arnold	Management	For	Voted - For
1B. Election of Director: Christopher M. Connor	Management	For	Voted - For
1C. Election of Director: Michael J. Critelli	Management	For	Voted - For
1D. Election of Director: Richard H. Fearon	Management	For	Voted - For
1E. Election of Director: Olivier Leonetti	Management	For	Voted - For
1F. Election of Director: Deborah L. McCoy	Management	For	Voted - For
1G. Election of Director: Silvio Napoli	Management	For	Voted - For
1H. Election of Director: Gregory R. Page	Management	For	Voted - For
1I. Election of Director: Sandra Pianalto	Management	For	Voted - For
1J. Election of Director: Lori J. Ryerkerk	Management	For	Voted - For
1K. Election of Director: Gerald B. Smith	Management	For	Voted - For
1L. Election of Director: Dorothy C. Thompson	Management	For	Voted - For
2. Approving a proposed 2020 Stock Plan.	Management	For	Voted - For
3. Approving the appointment of Ernst & Young as independent auditor for 2020 and authorizing the Audit Committee of the Board of Directors to set its remuneration.	Management	For	Voted - For
4. Advisory approval of the Company's executive compensation.	Management	For	Voted - For
5. Approving a proposal to grant the Board authority to issue shares.	Management	For	Voted - For

## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
6. Approving a proposal to grant the Board authority to opt out of pre-emption rights.	Management	For	Voted - For
7. Authorizing the Company and any subsidiary of the Company to make overseas market purchases of Company shares.	Management	For	Voted - For

### ENTERGY CORPORATION

*Security ID: 29364G103 Ticker: ETR*

Meeting Date: 08-May-20 Meeting Type: Annual

1A. Election of Director: J. R. Burbank	Management	For	Voted - For
1B. Election of Director: P. J. Condon	Management	For	Voted - For
1C. Election of Director: L. P. Denault	Management	For	Voted - For
1D. Election of Director: K. H. Donald	Management	For	Voted - For
1E. Election of Director: P. L. Frederickson	Management	For	Voted - For
1F. Election of Director: A. M. Herman	Management	For	Voted - For
1G. Election of Director: M. E. Hyland	Management	For	Voted - For
1H. Election of Director: S. L. Levenick	Management	For	Voted - For
1I. Election of Director: B. L. Lincoln	Management	For	Voted - For
1J. Election of Director: K. A. Puckett	Management	For	Voted - For
2. Ratification of the Appointment of Deloitte & Touche LLP as Independent Registered Public Accountants for 2020.	Management	For	Voted - For
3. Advisory Vote to Approve Named Executive Officer Compensation.	Management	For	Voted - For

### EPR PROPERTIES

*Security ID: 26884U109 Ticker: EPR*

Meeting Date: 29-May-20 Meeting Type: Annual

1.1 Director: Barrett Brady	Management	For	Voted - For
1.2 Director: Peter C. Brown	Management	For	Voted - For
1.3 Director: James B. Connor	Management	For	Voted - For
1.4 Director: Robert J. Druten	Management	For	Voted - For
1.5 Director: Gregory K. Silvers	Management	For	Voted - For
1.6 Director: Robin P. Sterneck	Management	For	Voted - For
1.7 Director: Virginia E. Shanks	Management	For	Voted - For
2. To approve, on a non-binding advisory basis, the compensation of the Company's named executive officers as disclosed in these proxy materials.	Management	For	Voted - For
3. To approve an amendment to the Company's Declaration of Trust to permit the Company to redeem Securities held by shareholders that are unsuitable owners for gaming regulatory purposes.	Management	For	Voted - For
4. To ratify the appointment of KPMG LLP as the Company's independent registered public accounting firm for 2020.	Management	For	Voted - For

## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>EVERSOURCE ENERGY</b>			
<i>Security ID: 30040W108 Ticker: ES</i>			
Meeting Date: 06-May-20		Meeting Type: Annual	
1A. Election of Trustee: Cotton M. Cleveland	Management	For	Voted - For
1B. Election of Trustee: James S. DiStasio	Management	For	Voted - For
1C. Election of Trustee: Francis A. Doyle	Management	For	Voted - For
1D. Election of Trustee: Linda Dorcena Forry	Management	For	Voted - For
1E. Election of Trustee: James J. Judge	Management	For	Voted - For
1F. Election of Trustee: John Y. Kim	Management	For	Voted - For
1G. Election of Trustee: Kenneth R. Leibler	Management	For	Voted - For
1H. Election of Trustee: David H. Long	Management	For	Voted - For
1I. Election of Trustee: William C. Van Faasen	Management	For	Voted - For
1J. Election of Trustee: Frederica M. Williams	Management	For	Voted - For
2. Consider an advisory proposal approving the compensation of our Named Executive Officers.	Management	For	Voted - For
3. Ratify the selection of Deloitte & Touche LLP as our independent registered public accounting firm for 2020.	Management	For	Voted - For

### GENERAL DYNAMICS CORPORATION

*Security ID: 369550108 Ticker: GD*

Meeting Date: 06-May-20 Meeting Type: Annual

1A. Election of Director: James S. Crown	Management	For	Voted - For
1B. Election of Director: Rudy F. deLeon	Management	For	Voted - For
1C. Election of Director: Cecil D. Haney	Management	For	Voted - For
1D. Election of Director: Mark M. Malcolm	Management	For	Voted - For
1E. Election of Director: James N. Mattis	Management	For	Voted - For
1F. Election of Director: Phebe N. Novakovic	Management	For	Voted - For
1G. Election of Director: C. Howard Nye	Management	For	Voted - For
1H. Election of Director: William A. Osborn	Management	For	Voted - For
1I. Election of Director: Catherine B. Reynolds	Management	For	Voted - For
1J. Election of Director: Laura J. Schumacher	Management	For	Voted - For
1K. Election of Director: John G. Stratton	Management	For	Voted - For
1L. Election of Director: Peter A. Wall	Management	For	Voted - For
2. Advisory Vote on the Selection of Independent Auditors	Management	For	Voted - For
3. Advisory Vote to approve Executive Compensation	Management	For	Voted - For
4. Shareholder Proposal to reduce the ownership threshold required to call a Special Shareholder meeting	Shareholder	Against	Voted - For

# City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>GENERAL MILLS, INC.</b>			
<i>Security ID: 370334104 Ticker: GIS</i>			
Meeting Date: 24-Sep-19		Meeting Type: Annual	
1A. Election of Director: R. Kerry Clark	Management	For	Voted - For
1B. Election of Director: David M. Cordani	Management	For	Voted - For
1C. Election of Director: Roger W. Ferguson Jr.	Management	For	Voted - For
1D. Election of Director: Jeffrey L. Harmening	Management	For	Voted - For
1E. Election of Director: Maria G. Henry	Management	For	Voted - For
1F. Election of Director: Elizabeth C. Lempres	Management	For	Voted - For
1G. Election of Director: Diane L. Neal	Management	For	Voted - For
1H. Election of Director: Steve Odland	Management	For	Voted - For
1I. Election of Director: Maria A. Sastre	Management	For	Voted - For
1J. Election of Director: Eric D. Sprunk	Management	For	Voted - For
1K. Election of Director: Jorge A. Uribe	Management	For	Voted - For
2. Advisory Vote on Executive Compensation.	Management	For	Voted - For
3. Ratify Appointment of the Independent Registered Public Accounting Firm.	Management	For	Voted - For

## HEALTHCARE TRUST OF AMERICA, INC.

*Security ID: 42225P501 Ticker: HTA*

Meeting Date: 09-Jul-19 Meeting Type: Annual

1a. Election of Director: Scott D. Peters	Management	For	Voted - For
1b. Election of Director: W. Bradley Blair, II	Management	For	Voted - For
1c. Election of Director: Vicki U. Booth	Management	For	Voted - For
1d. Election of Director: Maurice J. DeWald	Management	For	Voted - For
1e. Election of Director: Warren D. Fix	Management	For	Voted - For
1f. Election of Director: Peter N. Foss	Management	For	Voted - For
1g. Election of Director: Daniel S. Henson	Management	For	Voted - For
1h. Election of Director: Larry L. Mathis	Management	For	Voted - For
1i. Election of Director: Gary T. Wescombe	Management	For	Voted - For
2. To approve, on a non-binding, advisory basis, the compensation of our named executive officers.	Management	For	Voted - For
3. The ratification of the appointment of Deloitte & Touche LLP as our independent registered public accounting firm for the fiscal year ending December 31, 2019.	Management	For	Voted - For

## HUNTINGTON BANCSHARES INCORPORATED

*Security ID: 446150104 Ticker: HBAN*

Meeting Date: 22-Apr-20 Meeting Type: Annual

1.1 Director: Lizabeth Ardisana	Management	For	Voted - For
1.2 Director: Alanna Y. Cotton	Management	For	Voted - For
1.3 Director: Ann B. Crane	Management	For	Voted - For

## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1.4 Director: Robert S. Cubbin	Management	For	Voted - For
1.5 Director: Steven G. Elliott	Management	For	Voted - For
1.6 Director: Gina D. France	Management	For	Voted - For
1.7 Director: J Michael Hochschwender	Management	For	Voted - For
1.8 Director: John C. Inglis	Management	For	Voted - For
1.9 Director: Katherine M. A. Kline	Management	For	Voted - For
1.10 Director: Richard W. Neu	Management	For	Voted - For
1.11 Director: Kenneth J. Phelan	Management	For	Voted - For
1.12 Director: David L. Porteous	Management	For	Voted - For
1.13 Director: Stephen D. Steinour	Management	For	Voted - For
2. Ratification of the appointment of PricewaterhouseCoopers LLP as the independent registered public accounting firm for 2020.	Management	For	Voted - For
3. Advisory resolution to approve, on a non-binding basis, the compensation of executives as disclosed in the accompanying proxy statement.	Management	For	Voted - For

### INTEL CORPORATION

*Security ID: 458140100 Ticker: INTC*

Meeting Date: 14-May-20 Meeting Type: Annual

1A. Election of Director: James J. Goetz	Management	For	Voted - For
1B. Election of Director: Alyssa Henry	Management	For	Voted - For
1C. Election of Director: Omar Ishrak	Management	For	Voted - For
1D. Election of Director: Risa Lavizzo-Mourey	Management	For	Voted - For
1E. Election of Director: Tsu-Jae King Liu	Management	For	Voted - For
1F. Election of Director: Gregory D. Smith	Management	For	Voted - For
1G. Election of Director: Robert ("Bob") H. Swan	Management	For	Voted - For
1H. Election of Director: Andrew Wilson	Management	For	Voted - For
1I. Election of Director: Frank D. Yeary	Management	For	Voted - For
2. Ratification of selection of Ernst & Young LLP as our independent registered public accounting firm for 2020	Management	For	Voted - For
3. Advisory vote to approve executive compensation of our listed officers	Management	For	Voted - For
4. Approval of amendment and restatement of the 2006 Employee Stock Purchase Plan	Management	For	Voted - For
5. Stockholder proposal on whether to allow stockholders to act by written consent, if properly presented at the meeting	Shareholder	Against	Voted - Against
6. Stockholder proposal requesting a report on the global median gender/racial pay gap, if properly presented at the meeting	Shareholder	Against	Voted - Against

### INVESCO

*Security ID: 46138E511 Ticker: PGX*

Meeting Date: 19-Aug-19 Meeting Type: Special

1.1 Director: Ronn R. Bagge	Management	For	Voted - For
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## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1.2 Director: Todd J. Barre	Management	For	Voted - For
1.3 Director: Kevin M. Carome	Management	For	Voted - For
1.4 Director: E. P. Giambastiani, Jr.	Management	For	Voted - For
1.5 Director: Victoria J. Herget	Management	For	Voted - For
1.6 Director: Marc M. kole	Management	For	Voted - For
1.7 Director: Yung Bong Lim	Management	For	Voted - For
1.8 Director: Joanne Pace	Management	For	Voted - For
1.9 Director: Gary R. Wicker	Management	For	Voted - For
1.10 Director: Donald H. Wilson	Management	For	Voted - For

### JOHNSON & JOHNSON

Security ID: 478160104 Ticker: JNJ

Meeting Date: 23-Apr-20 Meeting Type: Annual

1A. Election of Director: Mary C. Beckerle	Management	For	Voted - For
1B. Election Of Director: D. Scott Davis	Management	For	Voted - For
1C. Election of Director: Ian E. L. Davis	Management	For	Voted - For
1D. Election of Director: Jennifer A. Doudna	Management	For	Voted - For
1E. Election of Director: Alex Gorsky	Management	For	Voted - For
1F. Election of Director: Marillyn A. Hewson	Management	For	Voted - For
1G. Election of Director: Hubert Joly	Management	For	Voted - For
1H. Election of Director: Mark B. McClellan	Management	For	Voted - For
1I. Election of Director: Anne M. Mulcahy	Management	For	Voted - For
1J. Election of Director: Charles Prince	Management	For	Voted - For
1K. Election of Director: A. Eugene Washington	Management	For	Voted - For
1L. Election of Director: Mark A. Weinberger	Management	For	Voted - For
1M. Election of Director: Ronald A. Williams	Management	For	Voted - For
2. Advisory Vote to Approve Named Executive Officer Compensation.	Management	For	Voted - For
3. Ratification of Appointment of PricewaterhouseCoopers LLP as the Independent Registered Public Accounting Firm for 2020.	Management	For	Voted - For
4. Amendment to the Restated Certificate of Incorporation to Permit Removal of Directors Without Cause.	Management	For	Voted - For
5. Independent Board Chair	Shareholder	Against	Voted - Against
6. Report on Governance of Opioids-Related Risks	Shareholder	Against	Voted - For

### JPMORGAN CHASE & CO.

Security ID: 46625H100 Ticker: JPM

Meeting Date: 19-May-20 Meeting Type: Annual

1A. Election of Director: Linda B. Bammann	Management	For	Voted - For
1B. Election of Director: Stephen B. Burke	Management	For	Voted - For
1C. Election of Director: Todd A. Combs	Management	For	Voted - For
1D. Election of Director: James S. Crown	Management	For	Voted - For
1E. Election of Director: James Dimon	Management	For	Voted - For
1F. Election of Director: Timothy P. Flynn	Management	For	Voted - For



## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1G. Election of Director: Mellody Hobson	Management	For	Voted - For
1H. Election of Director: Michael A. Neal	Management	For	Voted - For
1I. Election of Director: Lee R. Raymond	Management	For	Voted - For
1J. Election of Director: Virginia M. Rometty	Management	For	Voted - For
2. Advisory resolution to approve executive compensation	Management	For	Voted - For
3. Ratification of independent registered public accounting firm	Management	For	Voted - For
4. Independent board chairman	Shareholder	Against	Voted - Against
5. Oil and gas company and project financing related to the Arctic and the Canadian oil sands	Shareholder	Against	Voted - Against
6. Climate change risk reporting	Shareholder	Against	Voted - For
7. Amend shareholder written consent provisions	Shareholder	Against	Voted - For
8. Charitable contributions disclosure	Shareholder	Against	Voted - Against
9. Gender/Racial pay equity	Shareholder	Against	Voted - Against

### KELLOGG COMPANY

Security ID: 487836108 Ticker: K

Meeting Date: 24-Apr-20 Meeting Type: Annual

1A. Election of Director term expires in 2023: Stephanie Burns	Management	For	Voted - For
1B. Election of Director term expires in 2023: Steve Cahillane	Management	For	Voted - For
1C. Election of Director term expires in 2023: Richard Dreiling	Management	For	Voted - For
1D. Election of Director term expires in 2023: La June Montgomery Tabron	Management	For	Voted - For
2. Advisory resolution to approve executive compensation.	Management	For	Voted - For
3. Ratification of the appointment of PricewaterhouseCoopers LLP as Kellogg's independent registered public accounting firm for fiscal year 2020.	Management	For	Voted - For
4. Approval of the amendment and restatement of the Kellogg Company 2002 Employee Stock Purchase Plan.	Management	For	Voted - For
5. Management proposal to declassify board of directors.	Management	For	Voted - For
6. Shareowner proposal, if properly presented at the meeting, to adopt simple majority vote.	Shareholder	Against	Voted - For

### KIMBERLY-CLARK CORPORATION

Security ID: 494368103 Ticker: KMB

Meeting Date: 29-Apr-20 Meeting Type: Annual

1A. Election of Director: Abelardo E. Bru	Management	For	Voted - For
1B. Election of Director: Robert W. Dechard	Management	For	Voted - For
1C. Election of Director: Michael D. Hsu	Management	For	Voted - For
1D. Election of Director: Mae C. Jemison, M.D.	Management	For	Voted - For

## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1E. Election of Director: S. Todd Maclin	Management	For	Voted - For
1F. Election of Director: Sherilyn S. McCoy	Management	For	Voted - For
1G. Election of Director: Christa S. Quarles	Management	For	Voted - For
1H. Election of Director: Ian C. Read	Management	For	Voted - For
1I. Election of Director: Dunia A. Shive	Management	For	Voted - For
1J. Election of Director: Mark T. Smucker	Management	For	Voted - For
1K. Election of Director: Michael D. White	Management	For	Voted - For
2. Ratification of Auditor.	Management	For	Voted - For
3. Advisory Vote to Approve Named Executive Officer Compensation.	Management	For	Voted - For
4. Stockholder Proposal Regarding Right to Act by Written Consent.	Shareholder	Against	Voted - For

### LAMAR ADVERTISING COMPANY

Security ID: 512816109 Ticker: LAMR

Meeting Date: 28-May-20 Meeting Type: Annual

1.1 Director: Nancy Fletcher	Management	For	Voted - For
1.2 Director: John E. Koerner, III	Management	For	Voted - For
1.3 Director: Marshall A. Loeb	Management	For	Voted - For
1.4 Director: Stephen P. Mumblow	Management	For	Voted - For
1.5 Director: Thomas V. Reifenheiser	Management	For	Voted - For
1.6 Director: Anna Reilly	Management	For	Voted - For
1.7 Director: Kevin P. Reilly, Jr.	Management	For	Voted - For
1.8 Director: Wendell Reilly	Management	For	Voted - Withheld
1.9 Director: Elizabeth Thompson	Management	For	Voted - For
2. Approval, on an advisory and non-binding basis, of the compensation of the Company's named executive officers.	Management	For	Voted - For
3. Ratify the appointment of KPMG LLP as the Company's independent registered public accounting firm for fiscal 2020.	Management	For	Voted - For

### LIBERTY PROPERTY TRUST

Security ID: 531172104 Ticker: LPT

Meeting Date: 30-Jan-20 Meeting Type: Special

1. To approve the merger of a newly created indirect wholly owned subsidiary of Liberty Property Trust ("Liberty") with and into Liberty, with Liberty continuing as the surviving entity and as an indirect wholly owned subsidiary of Leaf Holdco Property Trust, a current wholly owned subsidiary of Liberty, followed by the merger of Leaf Holdco Property Trust with and into Lambda REIT Acquisition LLC, a wholly owned subsidiary of Prologis, Inc., with Lambda REIT Acquisition LLC continuing as the surviving company.	Management	For	Voted - For
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## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
2. To approve a non-binding advisory proposal to approve certain compensation that may be paid or become payable to certain named executive officers of Liberty in connection with the Company Mergers and the other transactions contemplated by the merger agreement.	Management	For	Voted - For
3. To approve one or more adjournments of the Liberty special meeting to another date, time or place, if necessary, to solicit additional proxies in favor of the proposal to approve the Company Mergers on the terms and conditions set forth in the merger agreement.	Management	For	Voted - For

### LOCKHEED MARTIN CORPORATION

*Security ID: 539830109 Ticker: LMT*

Meeting Date: 23-Apr-20 Meeting Type: Annual

1A. Election of Director: Daniel F. Akerson	Management	For	Voted - For
1B. Election of Director: David B. Burritt	Management	For	Voted - For
1C. Election of Director: Bruce A. Carlson	Management	For	Voted - For
1D. Election of Director: Joseph F. Dunford, Jr.	Management	For	Voted - For
1E. Election of Director: James O. Ellis, Jr.	Management	For	Voted - For
1F. Election of Director: Thomas J. Falk	Management	For	Voted - For
1G. Election of Director: Ilene S. Gordon	Management	For	Voted - For
1H. Election of Director: Marilyn A. Hewson	Management	For	Voted - For
1I. Election of Director: Vicki A. Hollub	Management	For	Voted - For
1J. Election of Director: Jeh C. Johnson	Management	For	Voted - For
1K. Election of Director: Debra L. Reed-Klages	Management	For	Voted - For
1L. Election of Director: James D. Taiclet, Jr.	Management	For	Voted - For
2. Ratification of Appointment of Ernst & Young LLP as Independent Auditors for 2020.	Management	For	Voted - For
3. Advisory Vote to Approve the Compensation of our Named Executive Officers (Say-on- Pay).	Management	For	Voted - For
4. Management Proposal to Approve the Lockheed Martin Corporation 2020 Incentive Performance Award Plan.	Management	For	Voted - For
5. Stockholder Proposal to Adopt Stockholder Action By Written Consent.	Shareholder	Against	Voted - For

### MCDONALD'S CORPORATION

*Security ID: 580135101 Ticker: MCD*

Meeting Date: 21-May-20 Meeting Type: Annual

1A. Election of Director for a one-year term expiring in 2021: Lloyd Dean	Management	For	Voted - For
1B. Election of Director for a one-year term expiring in 2021: Robert Eckert	Management	For	Voted - For
1C. Election of Director for a one-year term expiring in 2021: Catherine Engelbert	Management	For	Voted - For

## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1D. Election of Director for a one-year term expiring in 2021: Margaret Georgiadis	Management	For	Voted - For
1E. Election of Director for a one-year term expiring in 2021: Enrique Hernandez, Jr.	Management	For	Voted - For
1F. Election of Director for a one-year term expiring in 2021: Christopher Kempczinski	Management	For	Voted - For
1G. Election of Director for a one-year term expiring in 2021: Richard Lenny	Management	For	Voted - For
1H. Election of Director for a one-year term expiring in 2021: John Mulligan	Management	For	Voted - For
1I. Election of Director for a one-year term expiring in 2021: Sheila Penrose	Management	For	Voted - For
1J. Election of Director for a one-year term expiring in 2021: John Rogers, Jr.	Management	For	Voted - For
1K. Election of Director for a one-year term expiring in 2021: Paul Walsh	Management	For	Voted - For
1L. Election of Director for a one-year term expiring in 2021: Miles White	Management	For	Voted - For
2. Advisory vote to approve executive compensation.	Management	For	Voted - Against
3. Advisory vote to approve the appointment of Ernst & Young LLP as independent auditor for 2020.	Management	For	Voted - For
4. Vote to approve the Company's Amended and Restated 2012 Omnibus Stock Ownership Plan.	Management	For	Voted - For
5. Advisory vote on a shareholder proposal requesting to change the thresholds to call special shareholder meetings, if properly presented.	Shareholder	Against	Voted - For
6. Advisory vote on a shareholder proposal requesting the Board issue a report on sugar and public health, if properly presented.	Shareholder	Against	Voted - Against

### MERCK & CO., INC.

Security ID: 58933Y105 Ticker: MRK

Meeting Date: 26-May-20 Meeting Type: Annual

1A. Election of Director: Leslie A. Brun	Management	For	Voted - For
1B. Election of Director: Thomas R. Cech	Management	For	Voted - For
1C. Election of Director: Mary Ellen Coe	Management	For	Voted - For
1D. Election of Director: Pamela J. Craig	Management	For	Voted - For
1E. Election of Director: Kenneth C. Frazier	Management	For	Voted - For
1F. Election of Director: Thomas H. Glocer	Management	For	Voted - For
1G. Election of Director: Risa Lavizzo-Mourey	Management	For	Voted - For
1H. Election of Director: Paul B. Rothman	Management	For	Voted - For
1I. Election of Director: Patricia F. Russo	Management	For	Voted - For
1J. Election of Director: Christine E. Seidman	Management	For	Voted - For
1K. Election of Director: Inge G. Thulin	Management	For	Voted - For
1L. Election of Director: Kathy J. Warden	Management	For	Voted - For
1M. Election of Director: Peter C. Wendell	Management	For	Voted - For
2. Non-binding advisory vote to approve the compensation of our named executive officers.	Management	For	Voted - For

## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
3. Ratification of the appointment of the Company's independent registered public accounting firm for 2020.	Management	For	Voted - For
4. Shareholder proposal concerning shareholder right to act by written consent.	Shareholder	Against	Voted - Against
5. Shareholder proposal regarding allocation of corporate tax savings.	Shareholder	Against	Voted - Against

### MID-AMERICA APARTMENT COMMUNITIES, INC.

Security ID: 59522J103 Ticker: MAA

Meeting Date: 19-May-20 Meeting Type: Annual

1A. Election of Director: H. Eric Bolton, Jr.	Management	For	Voted - For
1B. Election of Director: Russell R. French	Management	For	Voted - For
1C. Election of Director: Alan B. Graf, Jr.	Management	For	Voted - For
1D. Election of Director: Toni Jennings	Management	For	Voted - For
1E. Election of Director: James K. Lowder	Management	For	Voted - For
1F. Election of Director: Thomas H. Lowder	Management	For	Voted - For
1G. Election of Director: Monica McGurk	Management	For	Voted - For
1H. Election of Director: Claude B. Nielsen	Management	For	Voted - For
1I. Election of Director: Philip W. Norwood	Management	For	Voted - For
1J. Election of Director: W. Reid Sanders	Management	For	Voted - For
1K. Election of Director: Gary Shorb	Management	For	Voted - For
1L. Election of Director: David P. Stockert	Management	For	Voted - For
2. Advisory (non-binding) vote to approve the compensation of our named executive officers as disclosed in the proxy statement.	Management	For	Voted - For
3. Ratification of appointment of Ernst & Young LLP as independent registered public accounting firm for fiscal year 2020.	Management	For	Voted - For

### NATIONAL RETAIL PROPERTIES, INC.

Security ID: 637417106 Ticker: NNN

Meeting Date: 12-May-20 Meeting Type: Annual

1.1 Director: Pamela K. Beall	Management	For	Voted - For
1.2 Director: Steven D. Cosler	Management	For	Voted - For
1.3 Director: Don DeFosset	Management	For	Voted - For
1.4 Director: David M. Fick	Management	For	Voted - For
1.5 Director: Edward J. Fritsch	Management	For	Voted - For
1.6 Director: Kevin B. Habicht	Management	For	Voted - For
1.7 Director: Betsy D. Holden	Management	For	Voted - For
1.8 Director: Julian E. Whitehurst	Management	For	Voted - For
2. Advisory vote to approve executive compensation.	Management	For	Voted - For
3. Ratification of the selection of the independent registered public accounting firm for 2020.	Management	For	Voted - For

# City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
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## OCCIDENTAL PETROLEUM CORPORATION

Security ID: 674599105 Ticker: OXY

Meeting Date: 31-Dec-19 Meeting Type: Contested Consent

<p>1. YOU MAY REVOKE ANY PREVIOUSLY EXECUTED WRITTEN REQUEST REGARDING THE ICAHN GROUP SOLICITATION FOR THE REQUEST TO FIX A RECORD DATE BY SIGNING, DATING AND DELIVERING THIS WHITE REVOCATION FORM. For = Yes Revoke my Request : Against = No Do Not Revoke my request.</p>	Management	For	Voted - Against
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## PAYCHEX, INC.

Security ID: 704326107 Ticker: PAYX

Meeting Date: 17-Oct-19 Meeting Type: Annual

1A. Election of Director: B. Thomas Golisano	Management	For	Voted - For
1B. Election of Director: Thomas F. Bonadio	Management	For	Voted - For
1C. Election of Director: Joseph G. Doody	Management	For	Voted - For
1D. Election of Director: David J.S. Flaschen	Management	For	Voted - For
1E. Election of Director: Pamela A. Joseph	Management	For	Voted - For
1F. Election of Director: Martin Mucci	Management	For	Voted - For
1G. Election of Director: Joseph M. Tucci	Management	For	Voted - For
1H. Election of Director: Joseph M. Velli	Management	For	Voted - For
1I. Election of Director: Kara Wilson	Management	For	Voted - For
2. ADVISORY VOTE TO APPROVE NAMED EXECUTIVE OFFICER COMPENSATION.	Management	For	Voted - For
3. RATIFICATION OF SELECTION OF PRICEWATERHOUSECOOPERS LLP TO SERVE AS THE INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	Voted - For

## PFIZER INC.

Security ID: 717081103 Ticker: PFE

Meeting Date: 23-Apr-20 Meeting Type: Annual

1A. Election of Director: Ronald E. Blaylock	Management	For	Voted - For
1B. Election of Director: Albert Bourla	Management	For	Voted - For
1C. Election of Director: W. Don Cornwell	Management	For	Voted - For
1D. Election of Director: Joseph J. Echevarria	Management	For	Voted - For
1E. Election of Director: Scott Gottlieb	Management	For	Voted - For
1F. Election of Director: Helen H. Hobbs	Management	For	Voted - For
1G. Election of Director: Susan Hockfield	Management	For	Voted - For
1H. Election of Director: James M. Kilts	Management	For	Voted - For
1I. Election of Director: Dan R. Littman	Management	For	Voted - For
1J. Election of Director: Shantanu Narayan	Management	For	Voted - For
1K. Election of Director: Suzanne Nora Johnson	Management	For	Voted - For
1L. Election of Director: James Quincey	Management	For	Voted - For
1M. Election of Director: James C. Smith	Management	For	Voted - For

## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
2. Ratify the selection of KPMG LLP as independent registered public accounting firm for 2020	Management	For	Voted - For
3. 2020 advisory approval of executive compensation	Management	For	Voted - For
4. Shareholder proposal regarding right to act by written consent	Shareholder	Against	Voted - Against
5. Shareholder proposal regarding enhancing proxy access	Shareholder	Against	Voted - Against
6. Shareholder proposal regarding report on lobbying activities	Shareholder	Against	Voted - Against
7. Shareholder proposal regarding independent chair policy	Shareholder	Against	Voted - Against
8. Shareholder proposal regarding gender pay gap	Shareholder	Against	Voted - Against
9. Election of Director: Susan Desmond- Hellmann	Management	For	Voted - For

### PHILIP MORRIS INTERNATIONAL INC.

*Security ID: 718172109 Ticker: PM*

Meeting Date: 06-May-20 Meeting Type: Annual

1A. Election of Director: André Calantzopoulos	Management	For	Voted - For
1B. Election of Director: Louis C. Camilleri	Management	For	Voted - For
1C. Election of Director: Werner Geissler	Management	For	Voted - For
1D. Election of Director: Lisa A. Hook	Management	For	Voted - For
1E. Election of Director: Jennifer Li	Management	For	Voted - For
1F. Election of Director: Jun Makihara	Management	For	Voted - For
1G. Election of Director: Kalpana Morparia	Management	For	Voted - For
1H. Election of Director: Lucio A. Noto	Management	For	Voted - For
1I. Election of Director: Frederik Paulsen	Management	For	Voted - For
1J. Election of Director: Robert B. Polet	Management	For	Voted - For
2. Advisory Vote Approving Executive Compensation	Management	For	Voted - For
3. Ratification of the Selection of Independent Auditors	Management	For	Voted - For

### PINNACLE WEST CAPITAL CORPORATION

*Security ID: 723484101 Ticker: PNW*

Meeting Date: 20-May-20 Meeting Type: Annual

1.1 Director: Glynis A. Bryan	Management	For	Voted - For
1.2 Director: Denis A. Cortese, M.D.	Management	For	Voted - For
1.3 Director: Richard P. Fox	Management	For	Voted - For
1.4 Director: Jeffrey B. Guldner	Management	For	Voted - For
1.5 Director: Dale E. Klein, Ph.D.	Management	For	Voted - For
1.6 Director: Humberto S. Lopez	Management	For	Voted - For
1.7 Director: Kathryn L. Munro	Management	For	Voted - For
1.8 Director: Bruce J. Nordstrom	Management	For	Voted - For
1.9 Director: Paula J. Sims	Management	For	Voted - For
1.10 Director: James E. Trevathan, Jr.	Management	For	Voted - For
1.11 Director: David P. Wagener	Management	For	Voted - For

## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
2. Advisory vote to approve executive compensation as disclosed in the 2020 Proxy Statement.	Management	For	Voted - For
3. Ratify the appointment of the independent accountant for the year ending December 31, 2020.	Management	For	Voted - For
4. Vote on the approval of a shareholder proposal asking the Company to amend its governing documents to reduce the ownership threshold to 10% to call special shareholder meetings, if properly presented at the meeting.	Shareholder	Against	Voted - Against

### PROLOGIS, INC.

Security ID: 74340W103 Ticker: PLD

Meeting Date: 29-Apr-20 Meeting Type: Annual

1A. Election of Director: Hamid R. Moghadam	Management	For	Voted - For
1B. Election of Director: Cristina G. Bitá	Management	For	Voted - For
1C. Election of Director: George L. Fotiadés	Management	For	Voted - For
1D. Election of Director: Lydia H. Kennard	Management	For	Voted - For
1E. Election of Director: Irving F. Lyons III	Management	For	Voted - For
1F. Election of Director: Avid Modjtábái	Management	For	Voted - For
1G. Election of Director: David P. O'Connor	Management	For	Voted - For
1H. Election of Director: Olivier Piani	Management	For	Voted - For
1I. Election of Director: Jeffrey L. Skelton	Management	For	Voted - For
1J. Election of Director: Carl B. Webb	Management	For	Voted - For
1K. Election of Director: William D. Zollars	Management	For	Voted - For
2. Advisory Vote to Approve the Company's Executive Compensation for 2019	Management	For	Voted - Against
3. Vote to Approve the Prologis, Inc. 2020 Long- Term Incentive Plan	Management	For	Voted - For
4. Vote to Approve an Amendment to our Articles of Incorporation to Increase the Number of Authorized Shares of Common Stock	Management	For	Voted - For
5. Ratification of the Appointment of KPMG LLP as the Company's Independent Registered Public Accounting Firm for the Year 2020	Management	For	Voted - For

### SONOCO PRODUCTS COMPANY

Security ID: 835495102 Ticker: SON

Meeting Date: 15-Apr-20 Meeting Type: Annual

1.1 Director: H. A. Cockrell	Management	For	Voted - For
1.2 Director: R. H. Coker	Management	For	Voted - For
1.3 Director: P.L. Davies	Management	For	Voted - For
1.4 Director: T. J. Drew	Management	For	Voted - For
1.5 Director: P. Guillemot	Management	For	Voted - For
1.6 Director: J. R. Haley	Management	For	Voted - For
1.7 Director: R. R. Hill, Jr.	Management	For	Voted - For
1.8 Director: R. G. Kyle	Management	For	Voted - For
1.9 Director: B. J. McGarvie	Management	For	Voted - For



## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1.10 Director: J. M. Micali	Management	For	Voted - For
1.11 Director: S. Nagarajan	Management	For	Voted - For
1.12 Director: M. D. Oken	Management	For	Voted - For
1.13 Director: T. E. Whiddon	Management	For	Voted - For
1.14 Director: L. M. Yates	Management	For	Voted - For
2. To ratify the selection of PricewaterhouseCoopers, LLP as the independent registered public accounting firm for the Company for the year ending December 31, 2020.	Management	For	Voted - For
3. To approve the advisory (non-binding) resolution to approve Executive Compensation.	Management	For	Voted - For
4. Amendment of Article 6(d) of the Articles of Incorporation and Article III, Section 7 of the By-laws relating to the vote required for removal of directors.	Management	For	Voted - For
5. Amendment of Article 6(f) of the Articles of Incorporation relating to quorum or voting requirements for shareholder.	Management	For	Voted - For
6. Amendment of Article IX, Section I of the By-laws relating to the shareholder vote required to amend the By-laws.	Management	For	Voted - For
7. Advisory (non-binding) shareholder proposal regarding right to call special shareholder meeting.	Shareholder	For	Voted - For

### **SYSCO CORPORATION**

*Security ID: 871829107 Ticker: SYY*

Meeting Date: 15-Nov-19 Meeting Type: Annual

1A. Election of Director: Thomas L. Bené	Management	For	Voted - For
1B. Election of Director: Daniel J. Brutto	Management	For	Voted - For
1C. Election of Director: John M. Cassaday	Management	For	Voted - For
1D. Election of Director: Joshua D. Frank	Management	For	Voted - For
1E. Election of Director: Larry C. Glasscock	Management	For	Voted - For
1F. Election of Director: Bradley M. Halverson	Management	For	Voted - For
1G. Election of Director: John M. Hinshaw	Management	For	Voted - For
1H. Election of Director: Hans-Joachim Koerber	Management	For	Voted - For
1I. Election of Director: Stephanie A. Lundquist	Management	For	Voted - For
1J. Election of Director: Nancy S. Newcomb	Management	For	Voted - For
1K. Election of Director: Nelson Peltz	Management	For	Voted - For
1L. Election of Director: Edward D. Shirley	Management	For	Voted - For
1M. Election of Director: Sheila G. Talton	Management	For	Voted - For
2. To approve, by advisory vote, the compensation paid to Sysco's named executive officers, as disclosed in Sysco's 2019 proxy statement.	Management	For	Voted - For
3. To ratify the appointment of Ernst & Young LLP as Sysco's independent registered public accounting firm for fiscal 2020.	Management	For	Voted - For
4. To consider a stockholder proposal, if properly presented at the meeting, requesting an independent board chair policy.	Shareholder	Against	Voted - Against

# City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>THE CLOROX COMPANY</b>			
<i>Security ID: 189054109 Ticker: CLX</i>			
Meeting Date: 20-Nov-19		Meeting Type: Annual	
1A. Election of Director: Amy Banse	Management	For	Voted - For
1B. Election of Director: Richard H. Carmona	Management	For	Voted - For
1C. Election of Director: Benno Dorer	Management	For	Voted - For
1D. Election of Director: Spencer C. Fleischer	Management	For	Voted - For
1E. Election of Director: Esther Lee	Management	For	Voted - For
1F. Election of Director: A.D. David Mackay	Management	For	Voted - For
1G. Election of Director: Robert W. Matschullat	Management	For	Voted - For
1H. Election of Director: Matthew J. Shattock	Management	For	Voted - For
1I. Election of Director: Pamela Thomas-Graham	Management	For	Voted - For
1J. Election of Director: Russell Weiner	Management	For	Voted - For
1K. Election of Director: Christopher J. Williams	Management	For	Voted - For
2. Advisory Vote to Approve Executive Compensation.	Management	For	Voted - For
3. Ratification of Independent Registered Public Accounting Firm.	Management	For	Voted - For
4. Approval of the Amended and Restated Certificate of Incorporation to Eliminate the Supermajority Voting Provision.	Management	For	Voted - For
<b>THE COCA-COLA COMPANY</b>			
<i>Security ID: 191216100 Ticker: KO</i>			
Meeting Date: 22-Apr-20		Meeting Type: Annual	
1A. Election of Director: Herbert A. Allen	Management	For	Voted - For
1B. Election of Director: Marc Bolland	Management	For	Voted - For
1C. Election of Director: Ana Botín	Management	For	Voted - For
1D. Election of Director: Christopher C. Davis	Management	For	Voted - For
1E. Election of Director: Barry Diller	Management	For	Voted - For
1F. Election of Director: Helene D. Gayle	Management	For	Voted - For
1G. Election of Director: Alexis M. Herman	Management	For	Voted - For
1H. Election of Director: Robert A. Kotick	Management	For	Voted - For
1I. Election of Director: Maria Elena Lagomasino	Management	For	Voted - For
1J. Election of Director: James Quincey	Management	For	Voted - For
1K. Election of Director: Caroline J. Tsay	Management	For	Voted - For
1L. Election of Director: David B. Weinberg	Management	For	Voted - For
2. Advisory vote to approve executive compensation.	Management	For	Voted - For
3. Ratification of the appointment of Ernst & Young LLP as Independent Auditors.	Management	For	Voted - For
4. Shareowner proposal on sugar and public health.	Shareholder	Against	Voted - Against

# City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>THE HERSHEY COMPANY</b>			
<i>Security ID: 427866108 Ticker: HSY</i>			
Meeting Date: 12-May-20		Meeting Type: Annual	
1.1 Director: P. M. Arway	Management	For	Voted - For
1.2 Director: J. W. Brown	Management	For	Voted - For
1.3 Director: M. G. Buck	Management	For	Voted - For
1.4 Director: V. L. Crawford	Management	For	Voted - For
1.5 Director: C. A. Davis	Management	For	Voted - For
1.6 Director: M. K. Haben	Management	For	Voted - For
1.7 Director: J. C. Katzman	Management	For	Voted - For
1.8 Director: M. D. Koken	Management	For	Voted - For
1.9 Director: R. M. Malcolm	Management	For	Voted - For
1.10 Director: A. J. Palmer	Management	For	Voted - For
1.11 Director: J. R. Perez	Management	For	Voted - For
1.12 Director: W. L. Schoppert	Management	For	Voted - For
1.13 Director: D. L. Shedlarz	Management	For	Voted - For
2. Ratify the appointment of Ernst & Young LLP as independent auditors for 2020.	Management	For	Voted - For
3. Approve named executive officer compensation on a non-binding advisory basis.	Management	For	Voted - For

## THE PROCTER & GAMBLE COMPANY

*Security ID: 742718109 Ticker: PG*

Meeting Date: 08-Oct-19      Meeting Type: Annual

1A. Election of Director: Francis S. Blake	Management	For	Voted - For
1B. Election of Director: Angela F. Braly	Management	For	Voted - For
1C. Election of Director: Amy L. Chang	Management	For	Voted - For
1D. Election of Director: Scott D. Cook	Management	For	Voted - For
1E. Election of Director: Joseph Jimenez	Management	For	Voted - For
1F. Election of Director: Terry J. Lundgren	Management	For	Voted - For
1G. Election of Director: Christine M. McCarthy	Management	For	Voted - For
1H. Election of Director: W. James McNerney, Jr.	Management	For	Voted - For
1I. Election of Director: Nelson Peltz	Management	For	Voted - For
1J. Election of Director: David S. Taylor	Management	For	Voted - For
1K. Election of Director: Margaret C. Whitman	Management	For	Voted - For
1L. Election of Director: Patricia A. Woertz	Management	For	Voted - For
2. Ratify Appointment of the Independent Registered Public Accounting Firm	Management	For	Voted - For
3. Advisory Vote to Approve the Company's Executive Compensation (the "Say on Pay" vote)	Management	For	Voted - For
4. Approval of The Procter & Gamble 2019 Stock and Incentive Compensation Plan	Management	For	Voted - For

# City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>TRUIST FINANCIAL CORPORATION</b>			
<i>Security ID: 89832Q109 Ticker: TFC</i>			
Meeting Date: 28-Apr-20		Meeting Type: Annual	
1A. Election of Director: Jennifer S. Banner	Management	For	Voted - For
1B. Election of Director: K. David Boyer, Jr.	Management	For	Voted - For
1C. Election of Director: Agnes Bundy Scanlan	Management	For	Voted - For
1D. Election of Director: Anna R. Cablik	Management	For	Voted - For
1E. Election of Director: Dallas S. Clement	Management	For	Voted - For
1F. Election of Director: Paul D. Donahue	Management	For	Voted - For
1G. Election of Director: Paul R. Garcia	Management	For	Voted - For
1H. Election of Director: Patrick C. Graney III	Management	For	Voted - For
1I. Election of Director: Linnie M. Haynesworth	Management	For	Voted - For
1J. Election of Director: Kelly S. King	Management	For	Voted - For
1K. Election of Director: Easter A. Maynard	Management	For	Voted - For
1L. Election of Director: Donna S. Morea	Management	For	Voted - For
1M. Election of Director: Charles A. Patton	Management	For	Voted - For
1N. Election of Director: Nido R. Qubein	Management	For	Voted - For
1O. Election of Director: David M. Ratcliffe	Management	For	Voted - For
1P. Election of Director: William H. Rogers, Jr.	Management	For	Voted - For
1Q. Election of Director: Frank P. Scruggs, Jr.	Management	For	Voted - For
1R. Election of Director: Christine Sears	Management	For	Voted - For
1S. Election of Director: Thomas E. Skains	Management	For	Voted - For
1T. Election of Director: Bruce L. Tanner	Management	For	Voted - For
1U. Election of Director: Thomas N. Thompson	Management	For	Voted - For
1V. Election of Director: Steven C. Voorhees	Management	For	Voted - For
2. Ratification of the appointment of PricewaterhouseCoopers LLP as Truist's independent registered public accounting firm for 2020.	Management	For	Voted - For
3. Advisory vote to approve Truist's executive compensation program.	Management	For	Voted - For
4. Shareholder proposal regarding an independent Chairman of the Board of Directors, if properly presented at the Annual Meeting.	Shareholder	Against	Voted - Against

## UMPQUA HOLDINGS CORPORATION

*Security ID: 904214103 Ticker: UMPQ*

Meeting Date: 20-Apr-20 Meeting Type: Annual

1A. Election of Board of Director: Peggy Fowler	Management	For	Voted - For
1B. Election of Board of Director: Stephen Gambee	Management	For	Voted - For
1C. Election of Board of Director: James Greene	Management	For	Voted - For
1D. Election of Board of Director: Luis Machuca	Management	For	Voted - For
1E. Election of Board of Director: Maria Pope	Management	For	Voted - For
1F. Election of Board of Director: Cort O'Haver	Management	For	Voted - For
1G. Election of Board of Director: John Schultz	Management	For	Voted - For
1H. Election of Board of Director: Susan Stevens	Management	For	Voted - For

## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1I. Election of Board of Director: Hilliard Terry	Management	For	Voted - For
1J. Election of Board of Director: Bryan Timm	Management	For	Voted - For
1K. Election of Board of Director: Anddria Varnado	Management	For	Voted - For
2. RATIFICATION OF SELECTION OF REGISTERED PUBLIC ACCOUNTING FIRM: The Audit and Compliance Committee has selected Deloitte & Touche LLP to serve as our independent registered public accounting firm for the fiscal year ending December 31, 2020.	Management	For	Voted - For
3. ADVISORY VOTE ON EXECUTIVE COMPENSATION (SAY ON PAY): We are requesting your vote on the following resolution: "RESOLVED, that the shareholders approve the compensation of the named executive officers as described in the Compensation Discussion and Analysis and the tabular and accompanying narrative disclosure of named executive officer compensation in the Proxy Statement for the 2020 Annual Meeting of Shareholders."	Management	For	Voted - For

### UNILEVER PLC

Security ID: 904767704 Ticker: UL

Meeting Date: 29-Apr-20 Meeting Type: Annual

1. To receive the Report and Accounts for the year ended 31 December 2019.	Management	For	Voted - For
2. To approve the Directors' Remuneration Report.	Management	For	Voted - For
3. To re-elect Mr N Andersen as a Non- Executive Director.	Management	For	Voted - For
4. To re-elect Mrs L Cha as a Non-Executive Director.	Management	For	Voted - For
5. To re-elect Mr V Colao as a Non-Executive Director.	Management	For	Voted - For
6. To re-elect Dr J Hartmann as a Non-Executive Director.	Management	For	Voted - For
7. To re-elect Mr A Jope as an Executive Director.	Management	For	Voted - For
8. To re-elect Ms A Jung as a Non-Executive Director.	Management	For	Voted - For
9. To re-elect Ms S Kilsby as a Non-Executive Director.	Management	For	Voted - For
10. To re-elect Mr S Masiyiwa as a Non-Executive Director.	Management	For	Voted - For
11. To re-elect Professor Y Moon as a Non- Executive Director.	Management	For	Voted - For
12. To re-elect Mr G Pitkethly as an Executive Director.	Management	For	Voted - For
13. To re-elect Mr J Rishton as a Non-Executive Director.	Management	For	Voted - For
14. To re-elect Mr F Sijbesma as a Non-Executive Director.	Management	For	Voted - For
15. To reappoint KPMG LLP as Auditor of the Company.	Management	For	Voted - For
16. To authorise the Directors to fix the remuneration of the Auditor.	Management	For	Voted - For
17. To authorise Political Donations and expenditure.	Management	For	Voted - For
18. To renew the authority to Directors to issue shares.	Management	For	Voted - For
19. To renew the authority to Directors to disapply pre-emption rights.	Management	For	Voted - For

## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
20. To renew the authority to Directors to disapply pre-emption rights for the purposes of acquisitions or capital investments.	Management	For	Voted - For
21. To renew the authority to the Company to purchase its own shares.	Management	For	Voted - For
22. To shorten the Notice period for General Meetings.	Management	For	Voted - For

### VALERO ENERGY CORPORATION

Security ID: 91913Y100 Ticker: VLO

Meeting Date: 30-Apr-20 Meeting Type: Annual

1A. Election of Director: H. Paulett Eberhart	Management	For	Voted - For
1B. Election of Director: Joseph W. Gorder	Management	For	Voted - For
1C. Election of Director: Kimberly S. Greene	Management	For	Voted - For
1D. Election of Director: Deborah P. Majoras	Management	For	Voted - For
1E. Election of Director: Eric D. Mullins	Management	For	Voted - For
1F. Election of Director: Donald L. Nickles	Management	For	Voted - For
1G. Election of Director: Philip J. Pfeiffer	Management	For	Voted - For
1H. Election of Director: Robert A. Profusek	Management	For	Voted - For
1I. Election of Director: Stephen M. Waters	Management	For	Voted - For
1J. Election of Director: Randall J. Weisenburger	Management	For	Voted - For
1K. Election of Director: Rayford Wilkins, Jr.	Management	For	Voted - For
2. Ratify the appointment of KPMG LLP as Valero's independent registered public accounting firm for 2020.	Management	For	Voted - For
3. Approve, by non-binding vote, the 2019 compensation of our named executive officers.	Management	For	Voted - For
4. Approve 2020 Omnibus Stock Incentive Plan.	Management	For	Voted - For

### VERIZON COMMUNICATIONS INC.

Security ID: 92343V104 Ticker: VZ

Meeting Date: 07-May-20 Meeting Type: Annual

1a. Election of Director: Shellye L. Archambeau	Management	For	Voted - For
1b. Election of Director: Mark T. Bertolini	Management	For	Voted - For
1c. Election of Director: Vittorio Colao	Management	For	Voted - For
1d. Election of Director: Melanie L. Healey	Management	For	Voted - For
1e. Election of Director: Clarence Otis, Jr.	Management	For	Voted - For
1f. Election of Director: Daniel H. Schulman	Management	For	Voted - For
1g. Election of Director: Rodney E. Slater	Management	For	Voted - For
1h. Election of Director: Hans E. Vestberg	Management	For	Voted - For
1i. Election of Director: Gregory G. Weaver	Management	For	Voted - For
2. Advisory Vote to Approve Executive Compensation	Management	For	Voted - For
3. Ratification of Appointment of Independent Registered Public Accounting Firm	Management	For	Voted - For
4. Nonqualified Savings Plan Earnings	Shareholder	Against	Voted - Against
5. Special Shareholder Meetings	Shareholder	Against	Voted - For
6. Lobbying Activities Report	Shareholder	Against	Voted - Against

## City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
7. User Privacy Metric	Shareholder	Against	Voted - Against
8. Amend Severance Approval Policy	Shareholder	Against	Voted - Against

### WEC ENERGY GROUP, INC.

*Security ID: 92939U106 Ticker: WEC*

Meeting Date: 06-May-20 Meeting Type: Annual

1A. Election of Director: Patricia W. Chadwick	Management	For	Voted - For
1B. Election of Director: Curt S. Culver	Management	For	Voted - For
1C. Election of Director: Danny L. Cunningham	Management	For	Voted - For
1D. Election of Director: William M. Farrow III	Management	For	Voted - For
1E. Election of Director: Thomas J. Fischer	Management	For	Voted - For
1F. Election of Director: J. Kevin Fletcher	Management	For	Voted - For
1G. Election of Director: Maria C. Green	Management	For	Voted - For
1H. Election of Director: Gale E. Klappa	Management	For	Voted - For
1I. Election of Director: Henry W. Knueppel	Management	For	Voted - For
1J. Election of Director: Thomas K. Lane	Management	For	Voted - For
1K. Election of Director: Ulice Payne, Jr.	Management	For	Voted - For
1L. Election of Director: Mary Ellen Stanek	Management	For	Voted - For
2. Advisory Vote to Approve Compensation of the Named Executive Officers.	Management	For	Voted - For
3. Ratification of Deloitte & Touche LLP as Independent Auditors for 2020	Management	For	Voted - For

### WELLTOWER INC.

*Security ID: 95040Q104 Ticker: WELL*

Meeting Date: 30-Apr-20 Meeting Type: Annual

1A. Election of Director: Kenneth J. Bacon	Management	For	Voted - For
1B. Election of Director: Thomas J. DeRosa	Management	For	Voted - For
1C. Election of Director: Karen B. DeSalvo	Management	For	Voted - For
1D. Election of Director: Jeffrey H. Donahue	Management	For	Voted - For
1E. Election of Director: Sharon M. Oster	Management	For	Voted - For
1F. Election of Director: Sergio D. Rivera	Management	For	Voted - For
1G. Election of Director: Johnese M. Spisso	Management	For	Voted - For
1H. Election of Director: Kathryn M. Sullivan	Management	For	Voted - For
2. The ratification of the appointment of Ernst & Young LLP as independent registered public accounting firm for the fiscal year 2020.	Management	For	Voted - For
3. The approval, on an advisory basis, of the compensation of our named executive officers as disclosed in the 2020 Proxy Statement.	Management	For	Voted - For

# City National Rochdale Dividend & Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>WESTROCK COMPANY</b>			
<i>Security ID: 96145D105 Ticker: WRK</i>			
Meeting Date: 31-Jan-20		Meeting Type: Annual	
1A. Election of Director: Colleen F. Arnold	Management	For	Voted - For
1B. Election of Director: Timothy J. Bernlohr	Management	For	Voted - For
1C. Election of Director: J. Powell Brown	Management	For	Voted - For
1D. Election of Director: Terrell K. Crews	Management	For	Voted - For
1E. Election of Director: Russell M. Currey	Management	For	Voted - For
1F. Election of Director: Suzan F. Harrison	Management	For	Voted - For
1G. Election of Director: John A. Luke, Jr.	Management	For	Voted - For
1H. Election of Director: Gracia C. Martore	Management	For	Voted - For
1I. Election of Director: James E. Nevels	Management	For	Voted - For
1J. Election of Director: Timothy H. Powers	Management	For	Voted - For
1K. Election of Director: Steven C. Voorhees	Management	For	Voted - For
1L. Election of Director: Bettina M. Whyte	Management	For	Voted - For
1M. Election of Director: Alan D. Wilson	Management	For	Voted - For
2. Advisory Vote to Approve Executive Compensation.	Management	For	Voted - For
3. Ratification of Appointment of Ernst & Young LLP.	Management	For	Voted - For
<b>XCEL ENERGY INC.</b>			
<i>Security ID: 98389B100 Ticker: XEL</i>			
Meeting Date: 22-May-20		Meeting Type: Annual	
1A. Election of Director: Lynn Casey	Management	For	Voted - For
1B. Election of Director: Ben Fowke	Management	For	Voted - For
1C. Election of Director: Netha N. Johnson	Management	For	Voted - For
1D. Election of Director: George J. Kehl	Management	For	Voted - For
1E. Election of Director: Richard T. O'Brien	Management	For	Voted - For
1F. Election of Director: David K. Owens	Management	For	Voted - For
1G. Election of Director: Christopher J. Policinski	Management	For	Voted - For
1H. Election of Director: James T. Prokopanko	Management	For	Voted - For
1I. Election of Director: A. Patricia Sampson	Management	For	Voted - For
1J. Election of Director: James J. Sheppard	Management	For	Voted - For
1K. Election of Director: David A. Westerlund	Management	For	Voted - For
1L. Election of Director: Kim Williams	Management	For	Voted - For
1M. Election of Director: Timothy V. Wolf	Management	For	Voted - For
1N. Election of Director: Daniel Yohannes	Management	For	Voted - For
2. Company proposal to approve, on an advisory basis, executive compensation.	Management	For	Voted - For
3. Company proposal to ratify the appointment of Deloitte & Touche LLP as Xcel Energy Inc.'s independent registered public accounting firm for 2020	Management	For	Voted - For
4. Shareholder proposal regarding a report on the costs and benefits of Xcel Energy's voluntary climate-related activities.	Shareholder	Against	Voted - Against



# City National Rochdale Fixed Income Opportunities Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>ABERDEEN ASIA-PACIFIC INCOME FUND, INC.</b>			
<i>Security ID: 003009107 Ticker: FAX</i>			
Meeting Date: 24-Apr-20		Meeting Type: Annual	
1A. Election of Class II Director to Serve for A Three-year Term Until the 2023 Annual Meeting of Shareholders: P. Gerald Malone	Management	For	Voted - For
3A. Election of Class I Director for Three-year Term Ending 2022: Neville J. Miles (to Consider the Continuation of the Term of One Director Under the Fund's Corporate Governance Policies)	Management	For	Voted - For
Meeting Date: 24-Apr-20		Meeting Type: Special	
1A. To Amend the Fundamental Policy Regarding Investment of Up to 80% in Asian Debt Securities So That the Fund Would Normally Invest at Least 80% of Its Net Assets, Plus the Amount of Any Borrowings for Investment Purposes, in "asia-pacific Debt".	Management	For	Voted - For
1B. To Eliminate the Fund's Fundamental Investment Policy That the Maximum Country Exposure to Any One Asian Country (other Than Korea) is Limited to 20% of the Fund's Total Assets.	Management	For	Voted - For
1C. To Eliminate the Fund's Fundamental Investment Policy That at Least 20% of the Fund's Total Assets Will be Invested in "australian Debt Securities".	Management	For	Voted - For
1D. To Eliminate the Fund's Fundamental Investment Policy That the Maximum Country Exposure for Korea is Limited to 40% of the Fund's Total Assets.	Management	For	Voted - For
1E. To Eliminate the Fund's Fundamental Investment Policy That the Maximum Country Exposure for New Zealand is Limited to 35% of the Fund's Total Assets.	Management	For	Voted - For
1F. To Eliminate the Fund's Fundamental Investment Policy That the Maximum Currency Exposure to Any One Asian Currency (other Than Korea) is Limited to 10% of Total Assets.	Management	For	Voted - For
1G. To Eliminate the Fund's Fundamental Investment Policy That the Maximum Currency Exposure for Korea is 25% of the Fund's Total Assets.	Management	For	Voted - For
1H. To Eliminate the Fund's Fundamental Investment Policy That the Maximum Currency Exposure for New Zealand is 35% of the Fund's Total Assets.	Management	For	Voted - For
1I. To Make the Fund's Fundamental Temporary Defensive Investment Policy with Respect to Temporarily Investing 100% of Its Assets in U.S. Debt Securities A Non-fundamental Policy But to Include U.S. Cash As Well.	Management	For	Voted - For
1J. To Make the Fund's Fundamental Investment Policy to Invest Up to 35% of Its Total Assets in Asian Debt Securities Rated Below Bbb- Or Baa3 A Non-fundamental Policy - But with Respect to Asia-pacific Debt Securities Instead of Asian Debt Securities.	Management	For	Voted - For

# City National Rochdale Fixed Income Opportunities Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1K. To Make the Fund's Fundamental Investment Policy with Respect to Entering Into Repurchase Agreements A Non-fundamental Investment Policy.	Management	For	Voted - For
1L. To Make the Fund's Fundamental Investment Policy with Respect to Investing Up to 10% of Its Total Assets in Securities Rated Below B- at the Time of Investment A Non-fundamental Investment Policy.	Management	For	Voted - For

## ANGEL OAK FINANCIAL STRATS INCOME TERM

Security ID: 03464A100 Ticker: FINS

Meeting Date: 27-May-20 Meeting Type: Special

1 To Approve the Issuance of Additional Common Shares of Beneficial Interest of the Fund in Connection with the Reorganization of Another Closed-end Fund with and Into the Fund.	Management	For	Voted - For
2 To Ratify the Selection of Cohen & Company, Ltd. As the Fund's Independent Registered Public Accounting Firm for the Fiscal Year Ending January 31, 2021.	Management	For	Voted - For

## APOLLO SR FLOATING RATE FD INC-COMMON

Security ID: 037636107 Ticker: AFT

Meeting Date: 21-May-20 Meeting Type: Annual

1.1 Director: Robert L. Borden	Management	For	Voted - For
1.2 Director: Carl J. Rickertsen	Management	For	Voted - For

## APOLLO TACTICAL INCOME FD INC

Security ID: 037638103 Ticker: AIF

Meeting Date: 21-May-20 Meeting Type: Annual

1.1 Director: Robert L. Borden	Management	For	Voted - For
1.2 Director: Carl J. Rickertsen	Management	For	Voted - For

## ARES DYNAMIC CREDIT ALLOCATION FUND

Security ID: 04014F102 Ticker: ARDC

Meeting Date: 21-May-20 Meeting Type: Annual

1A. The Election of One Class I Director, to Serve for A Term Expiring on the Date on Which the Annual Meeting of Stockholders is Held in 2023 and Until His Successor is Duly Elected and Qualifies: Bruce H. Spector	Management	For	Voted - For
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# City National Rochdale Fixed Income Opportunities Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
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**AVAYA HOLDINGS CORP.**

*Security ID: 05351X101 Ticker: AVYA*

Meeting Date: 04-Mar-20                      Meeting Type: Annual

1.1	Elect Director William D. Watkins	Management	For	Voted - For
1.2	Elect Director James M. Chirico, Jr.	Management	For	Voted - For
1.3	Elect Director Stephan Scholl	Management	For	Voted - For
1.4	Elect Director Susan L. Spradley	Management	For	Voted - For
1.5	Elect Director Stanley J. Sutula, III	Management	For	Voted - For
1.6	Elect Director Scott D. Vogel	Management	For	Voted - For
1.7	Elect Director Jacqueline E. Yeane	Management	For	Voted - For
2	Advisory Vote to Ratify Named Executive Officers' Compensation	Management	For	Voted - For
3	Approve Omnibus Stock Plan	Management	For	Voted - For
4	Approve Qualified Employee Stock Purchase Plan	Management	For	Voted - For
5	Ratify PricewaterhouseCoopers LLP As Auditors	Management	For	Voted - For

**BLACKROCK CA MUNICIPAL INC TR**

*Security ID: 09248E102 Ticker: BFZ*

Meeting Date: 27-Jul-20                      Meeting Type: Annual

1.1	Director: Michael J. Castellano	Management	For	Voted - For
1.2	Director: R. Glenn Hubbard	Management	For	Voted - For
1.3	Director: John M. Perlowski	Management	For	Voted - For

**BLACKROCK FLOATING RATE INC STRT FD INC**

*Security ID: 09255X100 Ticker: FRA*

Meeting Date: 27-Jul-20                      Meeting Type: Annual

1.1	Director: Cynthia L. Egan	Management	For	Voted - For
1.2	Director: Michael J. Castellano	Management	For	Voted - For
1.3	Director: Catherine A. Lynch	Management	For	Voted - For

**BLACKROCK FLOATING RATE INCOME TRUST**

*Security ID: 091941104 Ticker: BGT*

Meeting Date: 27-Jul-20                      Meeting Type: Annual

1.1	Director: Michael J. Castellano	Management	For	Voted - For
1.2	Director: R. Glenn Hubbard	Management	For	Voted - For
1.3	Director: John M. Perlowski	Management	For	Voted - For
1.4	Director: W. Carl Kester	Management	For	Voted - For

# City National Rochdale Fixed Income Opportunities Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
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## BLACKROCK MUNIHOLDINGS NJ QLTY FD INC.

Security ID: 09254X101 Ticker: MUJ

Meeting Date: 27-Jul-20 Meeting Type: Annual

1.1 Director: Michael J. Castellano	Management	For	Voted - For
1.2 Director: Richard E. Cavanagh	Management	For	Voted - For
1.3 Director: Cynthia L. Egan	Management	For	Voted - For
1.4 Director: Robert Fairbairn	Management	For	Voted - For
1.5 Director: R. Glenn Hubbard	Management	For	Voted - For
1.6 Director: Catherine A. Lynch	Management	For	Voted - For
1.7 Director: John M. Perlowski	Management	For	Voted - For
1.8 Director: Karen P. Robards	Management	For	Voted - For

## BLACKROCK MUNIYIELD PA QUALITY FUND INC

Security ID: 09255G107 Ticker: MPA

Meeting Date: 27-Jul-20 Meeting Type: Annual

1A. Election of Director: Michael J. Castellano	Management	For	Voted - For
1B. Election of Director: Richard E. Cavanagh	Management	For	Voted - For
1C. Election of Director: Cynthia L. Egan	Management	For	Voted - For
1D. Election of Director: Robert Fairbairn	Management	For	Voted - For
1E. Election of Director: R. Glenn Hubbard	Management	For	Voted - For
1F. Election of Director: Catherine A. Lynch	Management	For	Voted - For
1G. Election of Director: John M. Perlowski	Management	For	Voted - For
1H. Election of Director: Karen P. Robards	Management	For	Voted - For

## BLACKROCK NY MUNI INCOME QUALITY TRUST

Security ID: 09249U105 Ticker: BSE

Meeting Date: 27-Jul-20 Meeting Type: Annual

1.1 Director: Michael J. Castellano	Management	For	Voted - For
1.2 Director: R. Glenn Hubbard	Management	For	Voted - For
1.3 Director: John M. Perlowski	Management	For	Voted - For

## BRANDYWINEGLOBAL GLOBAL INCOME OPP

Security ID: 10537L104 Ticker: BWG

Meeting Date: 20-Mar-20 Meeting Type: Annual

1.1 Election of Director: Rajeev Das	Shareholder	Against	Voted - For
1.2 Election of Director: Andrew Dakos	Shareholder	Against	Voted - For
2 Ratification of PricewaterhouseCoopers Llc ("pwc") As the Fund's Independent Registered Public Accountants.	Management	For	Voted - For

## City National Rochdale Fixed Income Opportunities Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
3 To Conduct A Self-tender Offer at Or Close to Nav.	Shareholder	Against	Voted - For
4 The Board Should Amend the Bylaws to Provide That in A Contested Election, A Majority of the Votes Cast in the Election of Directors Shall be Required to Elect A Director.	Shareholder	Against	Voted - For

### CAESARS ENTERTAINMENT CORPORATION

Security ID: 127686103 Ticker: CZR

Meeting Date: 02-Jul-19 Meeting Type: Annual

1a Elect Director Thomas Benninger	Management	For	Voted - For
1b Elect Director Juliana Chugg	Management	For	Voted - For
1c Elect Director Keith Cozza	Management	For	Voted - For
1d Elect Director John Dionne	Management	For	Voted - For
1e Elect Director James Hunt	Management	For	Voted - For
1f Elect Director Courtney R. Mather	Management	For	Voted - For
1g Elect Director Anthony Rodio	Management	For	Voted - For
1h Elect Director Richard Schifter	Management	For	Voted - For
2 Advisory Vote to Ratify Named Executive Officers' Compensation	Management	For	Voted - For
3 Advisory Vote on Say on Pay Frequency	Management	One Year	Voted - One Year
4 Ratify Deloitte & Touche LLP As Auditor	Management	For	Voted - For
5 Provide Right to Call Special Meeting	Management	For	Voted - For
6 Amendment to the Company's Charter to Restrict the Company's Ability to Adopt Any "rights Plan" Or "poison Pill"	Management	For	Voted - For

Meeting Date: 15-Nov-19 Meeting Type: Special

1 Approve Merger Agreement	Management	For	Voted - For
2 Advisory Vote on Golden Parachutes	Management	For	Voted - For
3 Adjourn Meeting	Management	For	Voted - For

### EATON VANCE CA MUNICIPAL BOND FUND

Security ID: 27828A100 Ticker: EVM

Meeting Date: 16-Jul-20 Meeting Type: Annual

1.1 Director: George J. Gorman	Management	For	Voted - For
1.2 Director: Helen Frame Peters	Management	For	Voted - For
1.3 Director: Marcus L. Smith	Management	For	Voted - For
1.4 Director: Susan J. Sutherland	Management	For	Voted - For

# City National Rochdale Fixed Income Opportunities Fund

**Proposal** **Proposed by** **Mgt. Position** **Registrant Voted**

## EV FLOATING-RATE INCOME TRUST-COM

Security ID: 278279104 Ticker: EFT

Meeting Date: 19-Mar-20 Meeting Type: Annual

1.1	Director: Thomas E. Faust Jr.	Management	For	Voted - For
1.2	Director: Cynthia E. Frost	Management	For	Voted - For
1.3	Director: Scott E. Wennerholm	Management	For	Voted - For

## EV SENIOR FLOATING-RATE TRUST - COMMON

Security ID: 27828Q105 Ticker: EFR

Meeting Date: 13-Aug-20 Meeting Type: Annual

1.1	Director: Thomas E. Faust Jr.	Management	For	Voted - For
1.2	Director: Mark R Fetting	Management	For	Voted - For
1.3	Director: William H. Park	Management	For	Voted - For

## GULF KEYSTONE PETROLEUM LTD

Security ID: BYVGFL0 Ticker: GKP LN

Meeting Date: 19-Jun-20 Meeting Type: Annual

1	Re-appoint Deloitte LLP As Auditor	Management	For	Voted - For
2	Elect Jaap Huijskes	Management	For	Voted - For
3	Elect Martin Angle	Management	For	Voted - For
4	Elect David Thomas	Management	For	Voted - For
5	Elect Jón Ferrier	Management	For	Voted - For
6	Elect Kimberley Wood	Management	For	Voted - For
7	Elect Ian Weatherdon	Management	For	Voted - For
8	Directors' Remuneration Report	Management	For	Voted - For
9	Market Purchases of Ordinary Shares of the Company.	Management	For	Voted - For
10	Amend Rules of the Long Term Incentive Plan	Management	For	Voted - For

## HIGHLAND INCOME FUND

Security ID: 43010E404 Ticker: HFRO

Meeting Date: 12-Jun-20 Meeting Type: Annual

1.1	Director: Dr. Bob Froehlich	Management	For	Voted - For
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## IHEARTMEDIA INC

Security ID: 45174J509 Ticker: IHRTQ

Meeting Date: 15-Jun-20 Meeting Type: Annual

1.1	Elect Gary Barber	Management	For	Voted - For
1.2	Elect Brad Gerstner	Management	For	Voted - For

# City National Rochdale Fixed Income Opportunities Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
2 Ratification of Auditor	Management	For	Voted - For
3 Advisory Vote on Executive Compensation	Management	For	Voted - For

## LEGG MASON W A HIGH INC FD II INC.-COM

Security ID: 95766J102 Ticker: HIX

Meeting Date: 05-Jun-20 Meeting Type: Special

1 To Approve A New Management Agreement with Legg Mason Partners Fund Advisor, Llc.	Management	For	Voted - For
2C. To Approve A New Subadvisory Agreement with Western Asset Management Company, Llc.	Management	For	Voted - For
2D. To Approve A New Subadvisory Agreement with Western Asset Management Company Limited.	Management	For	Voted - For
2F. To Approve A New Subadvisory Agreement with Western Asset Management Company Pte . Ltd.	Management	For	Voted - For

## MS EMERGING MKTS DOMESTIC DEBT FD, INC.

Security ID: 617477104 Ticker: EDD

Meeting Date: 25-Jun-20 Meeting Type: Annual

1.1 Director: Kathleen A. Dennis	Management	For	Voted - For
1.2 Director: Joseph J. Kearns	Management	For	Voted - For
1.3 Director: Michael E. Nugent	Management	For	Voted - For

## NEW AMERICA HIGH INCOME FUND-COMMON

Security ID: 641876800 Ticker: HYB

Meeting Date: 23-Apr-20 Meeting Type: Annual

1.1 Director: Joseph L. Bower	Management	For	Voted - For
1.2 Director: Stuart A. Mcfarland	Management	For	Voted - For
1.3 Director: Marguerite Piret	Management	For	Voted - For
1.4 Director: Oleg M. Pohotsky	Management	For	Voted - For
1.5 Director: Ellen E. Terry	Management	For	Voted - For

## NUVEEN FLOATING RATE INC OPP FD-COM

Security ID: 6706EN100 Ticker: JRO

Meeting Date: 08-Apr-20 Meeting Type: Annual

1A.1 Director: John K. Nelson	Management	For	Voted - For
1A.2 Director: Terence J. Toth	Management	For	Voted - For
1A.3 Director: Robert L. Young	Management	For	Voted - For

# City National Rochdale Fixed Income Opportunities Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
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## NUVEEN SHORT DURATION CREDIT OPP FD-COM

Security ID: 67074X107 Ticker: JSD

Meeting Date: 08-Apr-20 Meeting Type: Annual

1A.1 Director: John K. Nelson	Management	For	Voted - For
1A.2 Director: Terence J. Toth	Management	For	Voted - For
1A.3 Director: Robert L. Young	Management	For	Voted - For

## SAVANNAH ENERGY PL

Security ID: BP41S21 Ticker: SAVE LN

Meeting Date: 29-Jun-20 Meeting Type: Annual

1 Elect Andrew Knott As A Director.	Management	For	Voted - For
2 Elect Isatou Semega-janneh	Management	For	Voted - For
3 Elect Steven Jenkins	Management	For	Voted - For
4 Elect David Clarkson	Management	For	Voted - For
5 Elect Mark Iannotti	Management	For	Voted - For
6 David Jamison	Management	For	Voted - For
7 Stephen O'brien	Management	For	Voted - For
8 Michael Wachtel	Management	For	Voted - For
9 Allot Ordinary Shares.	Management	For	Voted - For
10 Disapply Preemption Rights.	Management	For	Voted - For
11 Market Purchases of Ordinary Shares of the Company.	Management	For	Voted - For

## TEMPLETON EMERGING MKTS INCOME FUND

Security ID: 880192109 Ticker: TEI

Meeting Date: 28-May-20 Meeting Type: Annual

1.1 Director: Harris J. Ashton	Management	For	Voted - For
1.2 Director: Edith E. Holiday	Management	For	Voted - For
1.3 Director: J. Michael Luttig	Management	For	Voted - For
1.4 Director: C.d. Tseretopoulos	Management	For	Voted - For
2 The Ratification of the Selection of PricewaterhouseCoopers LLP As the Independent Registered Public Accounting Firm for the Fund for the Fiscal Year Ending December 31, 2020.	Management	For	Voted - For

## THL CREDIT SENIOR LOAN FUND

Security ID: 87244R103 Ticker: TSLF

Meeting Date: 24-Apr-20 Meeting Type: Annual

1 To Approve A New Advisory Agreement Between the Fund and First Eagle Alternative Credit, Llc (formerly Known As Thl Credit Advisors Llc).	Management	For	Voted - Against
1.1 Director: Laurie Hesslein	Management	For	Voted - Against



# City National Rochdale Fixed Income Opportunities Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
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## VIRTUS GLOBAL MULTI-SECTOR INCOME FUND

Security ID: 92829B101 Ticker: VGI

Meeting Date: 21-May-20 Meeting Type: Annual

1A. Election of Trustee (class II): Donald C. Burke	Management	For	Voted - For
1B. Election of Trustee (class II): Sidney E. Harris	Management	For	Voted - For
1C. Election of Trustee (class II): John R. Mallin	Management	For	Voted - For
1D. Election of Trustee (class II): James M. Oates	Management	For	Voted - For

## VIVALDI OPPORTUNITIES FUND

Security ID: 92853C207 Ticker: VAM

Meeting Date: 26-May-20 Meeting Type: Special

<p>1 To Approve an Agreement and Plan of Reorganization Providing for the Transfer of All of the Assets of the Fund to Angel Oak Financial Strategies Income Term Trust (the "acquiring Fund") in Exchange Solely for Newly Issued Common Shares of Beneficial Interest of the Acquiring Fund and the Assumption by the Acquiring Fund of the Accrued and Unpaid Liabilities of the Fund and the Distribution of the Common Shares of Beneficial Interest of the Acquiring Fund to the Shareholders of the Fund and Complete Liquidation of the Fund.</p>	Management	For	Voted - For
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## WEST ASSET INFLA-LINKED OPP & INC FUND

Security ID: 95766R104 Ticker: WIW

Meeting Date: 24-Apr-20 Meeting Type: Annual

1.1 Director: Robert Abeles, Jr.	Management	For	Voted - For
1.2 Director: Jane F. Dasher	Management	For	Voted - For
1.3 Director: Anita L. Defrantz	Management	For	Voted - For
1.4 Director: Susan B. Kerley	Management	For	Voted - For
1.5 Director: Michael Larson	Management	For	Voted - For
1.6 Director: Avedick B. Poladian	Management	For	Voted - For
1.7 Director: William E. B. Siart	Management	For	Voted - For
1.8 Director: Jaynie Miller Studemund	Management	For	Voted - For
1.9 Director: Peter J. Taylor	Management	For	Voted - For
1.10 Director: Ronald Olson	Management	For	Voted - For
1.11 Director: Jane E. Trust	Management	For	Voted - For

Meeting Date: 14-Jul-20 Meeting Type: Special

1 To Approve A New Management Agreement with Western Asset Management Company, Llc	Management	For	Voted - For
2A. To Approve A New Subadvisory Agreement With: Western Asset Management Company Limited	Management	For	Voted - For

# City National Rochdale Fixed Income Opportunities Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
2B. To Approve A New Subadvisory Agreement With: Western Asset Management Company Ltd	Management	For	Voted - For
2C. To Approve A New Subadvisory Agreement With: Western Asset Management Company Pte. Ltd.	Management	For	Voted - For

## WESTERN ASSET EMERGING MKTS DEBT FD COM

*Security ID: 95766A101 Ticker: EMD*

Meeting Date: 05-Jun-20 Meeting Type: Special

1 To Approve A New Management Agreement with Legg Mason Partners Fund Advisor, Llc.	Management	For	Voted - For
2C. To Approve A New Subadvisory Agreement with Western Asset Management Company, Llc.	Management	For	Voted - For
2D. To Approve A New Subadvisory Agreement with Western Asset Management Company Limited.	Management	For	Voted - For
2F. To Approve A New Subadvisory Agreement with Western Asset Management Company Pte . Ltd.	Management	For	Voted - For

## WESTERN ASSET HIGH INC OPP FD INC.-COM

*Security ID: 95766K109 Ticker: HIO*

Meeting Date: 05-Jun-20 Meeting Type: Special

1 To Approve A New Management Agreement with Legg Mason Partners Fund Advisor, Llc.	Management	For	Voted - For
2C. To Approve A New Subadvisory Agreement with Western Asset Management Company, Llc.	Management	For	Voted - For
2D. To Approve A New Subadvisory Agreement with Western Asset Management Company Limited.	Management	For	Voted - For

## WESTERN ASSET INTER MUNI INC.- COM

*Security ID: 958435109 Ticker: SBI*

Meeting Date: 05-Jun-20 Meeting Type: Special

1 To Approve A New Management Agreement with Legg Mason Partners Fund Advisor, Llc.	Management	For	Voted - For
2C. To Approve A New Subadvisory Agreement with Western Asset Management Company, Llc.	Management	For	Voted - For

## WESTERN ASSET MUNI PARTNERS FD INC.-COM

*Security ID: 95766P108 Ticker: MNP*

Meeting Date: 05-Jun-20 Meeting Type: Special

1 To Approve A New Management Agreement with Legg Mason Partners Fund Advisor, Llc.	Management	For	Voted - For
2C. To Approve A New Subadvisory Agreement with Western Asset Management Company, Llc.	Management	For	Voted - For

# City National Rochdale Fixed Income Opportunities Fund

**Proposal**

**Proposed by   Mgt. Position   Registrant Voted**

**XAI OCTAGON FR & ALT INCOME TERM TRUST**

*Security ID: 98400T106   Ticker: XFLT*

Meeting Date: 18-May-20   Meeting Type: Annual

1A. Re-election of Class III Trustee of the Trust to  
Serve Until the 2023 Annual Meeting, Or Until His  
Successor is Duly Elected and Qualified: Scott  
Craven Jones

Management   For   Voted - For

# City National Rochdale Municipal High Income Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
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**CITY OF CARMEL, INDIANA REVENUE BONDS**

*Security ID: 143298AA5*

Meeting Date: 03-Sep-19                      Meeting Type: Consent

- |  |            |     |              |
|--|------------|-----|--------------|
| 1. Vote on the Plan (for = Accept, Against = Reject)   | Management | For | Did Not Vote |
| 2. Opt Out, and Will Not Consent to the Releases<br>Granted in Section 11.4 of the Plan (for = Opt Out,<br>Abstain = Do Not Opt Out) | Management | For | Did Not Vote |

**CITY OF CARMEL, INDIANA REVENUE BONDS**

*Security ID: 143298AD9*

Meeting Date: 03-Sep-19                      Meeting Type: Consent

- |  |            |     |              |
|--|------------|-----|--------------|
| 1. Vote on the Plan (for = Accept, Against = Reject)   | Management | For | Did Not Vote |
| 2. Opt Out, and Will Not Consent to the Releases<br>Granted in Section 11.4 of the Plan (for = Opt Out,<br>Abstain = Do Not Opt Out) | Management | For | Did Not Vote |

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>ACCENTURE PLC</b>			
<i>Security ID: G1151C101 Ticker: ACN</i>			
Meeting Date: 30-Jan-20		Meeting Type: Annual	
1A. Re-appointment of Director: Jaime Ardila	Management	For	Voted - For
1B. Re-appointment of Director: Herbert Hainer	Management	For	Voted - For
1C. Re-appointment of Director: Nancy McKinstry	Management	For	Voted - For
1D. Re-appointment of Director: Gilles C. Pélisson	Management	For	Voted - For
1E. Re-appointment of Director: Paula A. Price	Management	For	Voted - For
1F. Re-appointment of Director: Venkata (Murthy) Renduchintala	Management	For	Voted - For
1G. Re-appointment of Director: David Rowland	Management	For	Voted - For
1H. Re-appointment of Director: Arun Sarin	Management	For	Voted - For
1I. Re-appointment of Director: Julie Sweet	Management	For	Voted - For
1J. Re-appointment of Director: Frank K. Tang	Management	For	Voted - For
1K. Re-appointment of Director: Tracey T. Travis	Management	For	Voted - For
2. To approve, in a non-binding vote, the compensation of our named executive officers.	Management	For	Voted - For
3. To approve the Amended and Restated Accenture plc 2010 Share Incentive Plan.	Management	For	Voted - For
4. To ratify, in a non-binding vote, the appointment of KPMG LLP ("KPMG") as independent auditors of Accenture and to authorize, in a binding vote, the Audit Committee of the Board of Directors to determine KPMG's remuneration.	Management	For	Voted - For
5. To grant the Board of Directors the authority to issue shares under Irish law.	Management	For	Voted - For
6. To grant the Board of Directors the authority to opt-out of pre-emption rights under Irish law.	Management	For	Voted - For
7. To determine the price range at which Accenture can re-allot shares that it acquires as treasury shares under Irish law.	Management	For	Voted - For
<b>ADOBE INC</b>			
<i>Security ID: 00724F101 Ticker: ADBE</i>			
Meeting Date: 09-Apr-20		Meeting Type: Annual	
1A. Election of Director: Amy Banse	Management	For	Voted - For
1B. Election of Director: Frank Calderoni	Management	For	Voted - For
1C. Election of Director: James Daley	Management	For	Voted - For
1D. Election of Director: Laura Desmond	Management	For	Voted - For
1E. Election of Director: Charles Geschke	Management	For	Voted - For
1F. Election of Director: Shantanu Narayen	Management	For	Voted - For
1G. Election of Director: Kathleen Oberg	Management	For	Voted - For
1H. Election of Director: Dheeraj Pandey	Management	For	Voted - For
1I. Election of Director: David Ricks	Management	For	Voted - For
1J. Election of Director: Daniel Rosensweig	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1K. Election of Director: John Warnock	Management	For	Voted - For
2. Approve the 2020 Employee Stock Purchase Plan, which amends and restates the 1997 Employee Stock Purchase Plan.	Management	For	Voted - For
3. Ratify the appointment of KPMG LLP as our independent registered public accounting firm for our fiscal year ending on November 27, 2020.	Management	For	Voted - For
4. Approve, on an advisory basis, the compensation of our named executive officers.	Management	For	Voted - For
5. Consider and vote upon one stockholder proposal.	Shareholder	Against	Voted - Against

### ALIBABA GROUP HOLDING LIMITED

*Security ID: 01609W102 Ticker: BABA*

Meeting Date: 15-Jul-19                      Meeting Type: Annual

1. Effect an increase in the number of authorized Ordinary Shares to 32,000,000,000 and effect a one-to-eight share subdivision of the Company's Ordinary Shares.	Management	For	Voted - For
2.1 Election of Director for a three year term: DANIEL ZHANG	Management	For	Voted - For
2.2 Election of Director for a three year term: CHEE HWA TUNG	Management	For	Voted - For
2.3 Election of Director for a three year term: JERRY YANG	Management	For	Voted - For
2.4 Election of Director for a three year term: WAN LING MARTELLO	Management	For	Voted - For
3. Ratify the appointment of PricewaterhouseCoopers as the independent registered public accounting firm of the Company.	Management	For	Voted - For

### ALPHABET INC.

*Security ID: 02079K305 Ticker: GOOGL*

Meeting Date: 03-Jun-20                      Meeting Type: Annual

1.1 Director: Larry Page	Management	For	Voted - For
1.2 Director: Sergey Brin	Management	For	Voted - For
1.3 Director: Sundar Pichai	Management	For	Voted - For
1.4 Director: John L. Hennessy	Management	For	Voted - For
1.5 Director: Frances H. Arnold	Management	For	Voted - For
1.6 Director: L. John Doerr	Management	For	Voted - For
1.7 Director: Roger W. Ferguson, Jr.	Management	For	Voted - For
1.8 Director: Ann Mather	Management	For	Voted - For
1.9 Director: Alan R. Mulally	Management	For	Voted - Withheld
1.10 Director: K. Ram Shriram	Management	For	Voted - For
1.11 Director: Robin L. Washington	Management	For	Voted - For
2. Ratification of the appointment of Ernst & Young LLP as Alphabet's independent registered public			

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
accounting firm for the fiscal year ending December 31, 2020.	Management	For	Voted - For
3. An amendment to Alphabet's Amended and Restated 2012 Stock Plan to increase the share reserve by 8,500,000 shares of Class C capital stock.	Management	For	Voted - For
4. Advisory vote to approve named executive officer compensation.	Management	For	Voted - Against
5. A stockholder proposal regarding equal shareholder voting, if properly presented at the meeting.	Shareholder	Against	Voted - For
6. A stockholder proposal regarding a report on arbitration of employment-related claims, if properly presented at the meeting.	Shareholder	Against	Voted - Against
7. A stockholder proposal regarding the establishment of a human rights risk oversight committee, if properly presented at the meeting.	Shareholder	Against	Voted - For
8. A stockholder proposal regarding non-binding vote on amendment of bylaws, if properly presented at the meeting.	Shareholder	Against	Voted - Against
9. A stockholder proposal regarding a report on sustainability metrics, if properly presented at the meeting.	Shareholder	Against	Voted - Against
10. A stockholder proposal regarding a report on takedown requests, if properly presented at the meeting.	Shareholder	Against	Voted - Against
11. A stockholder proposal regarding majority vote for election of directors, if properly presented at the meeting.	Shareholder	Against	Voted - For
12. A stockholder proposal regarding a report on gender/racial pay equity, if properly presented at the meeting.	Shareholder	Against	Voted - Against
13. A stockholder proposal regarding the nomination of human rights and/or civil rights expert to the board, if properly presented at the meeting.	Shareholder	Against	Voted - Against
14. A stockholder proposal regarding a report on whistleblower policies and practices, if properly presented at the meeting.	Shareholder	Against	Voted - Against

### AMERICAN WATER WORKS COMPANY, INC.

Security ID: 030420103 Ticker: AWK

Meeting Date: 13-May-20 Meeting Type: Annual

1A. Election of Director: Jeffrey N. Edwards	Management	For	Voted - For
1B. Election of Director: Martha Clark Goss	Management	For	Voted - For
1C. Election of Director: Veronica M. Hagen	Management	For	Voted - For
1D. Election of Director: Kimberly J. Harris	Management	For	Voted - For
1E. Election of Director: Julia L. Johnson	Management	For	Voted - For
1F. Election of Director: Patricia L. Kampling	Management	For	Voted - For
1G. Election of Director: Karl F. Kurz	Management	For	Voted - For
1H. Election of Director: Walter J. Lynch	Management	For	Voted - For
1I. Election of Director: George MacKenzie	Management	For	Voted - For
1J. Election of Director: James G. Stavridis	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1K. Election of Director: Lloyd M. Yates	Management	For	Voted - For
2. Approval, on an advisory basis, of the compensation of the Company's named executive officers.	Management	For	Voted - For
3. Ratification of the appointment, by the Audit, Finance and Risk Committee of the Board of Directors, of PricewaterhouseCoopers LLP as the Company's independent registered public accounting firm for 2020.	Management	For	Voted - For

### AON PLC

Security ID: G0403H108 Ticker: AON

Meeting Date: 19-Jun-20 Meeting Type: Annual

1A. Election of Director: Lester B. Knight	Management	For	Voted - For
1B. Election of Director: Gregory C. Case	Management	For	Voted - For
1C. Election of Director: Jin-Yong Cai	Management	For	Voted - For
1D. Election of Director: Jeffrey C. Campbell	Management	For	Voted - For
1E. Election of Director: Fulvio Conti	Management	For	Voted - For
1F. Election of Director: Cheryl A. Francis	Management	For	Voted - For
1G. Election of Director: J. Michael Losh	Management	For	Voted - For
1H. Election of Director: Richard B. Myers	Management	For	Voted - For
1I. Election of Director: Richard C. Notebaert	Management	For	Voted - For
1J. Election of Director: Gloria Santona	Management	For	Voted - For
1K. Election of Director: Carolyn Y. Woo	Management	For	Voted - For
2. Advisory vote to approve executive compensation	Management	For	Voted - For
3. Ratify the appointment of Ernst & Young LLP as the Company's independent registered public accounting firm	Management	For	Voted - For
4. Re-appoint Ernst & Young Chartered Accountants as the Company's statutory auditor under Irish law	Management	For	Voted - For
5. Authorize the Board of Directors or the Audit Committee of the Board to determine the remuneration of Ernst & Young Chartered Accountants as the Company's Statutory Auditor under Irish Law	Management	For	Voted - For

### APPLE INC.

Security ID: 037833100 Ticker: AAPL

Meeting Date: 26-Feb-20 Meeting Type: Annual

1A. Election of Director: James Bell	Management	For	Voted - For
1B. Election of Director: Tim Cook	Management	For	Voted - For
1C. Election of Director: Al Gore	Management	For	Voted - For
1D. Election of Director: Andrea Jung	Management	For	Voted - For
1E. Election of Director: Art Levinson	Management	For	Voted - For
1F. Election of Director: Ron Sugar	Management	For	Voted - For
1G. Election of Director: Sue Wagner	Management	For	Voted - For
2. Ratification of the appointment of Ernst & Young LLP as Apple's independent registered public accounting firm for 2020	Management	For	Voted - For



## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
3. Advisory vote to approve executive compensation	Management	For	Voted - For
4. A shareholder proposal entitled "Shareholder Proxy Access Amendments"	Shareholder	Against	Voted - Against
5. A shareholder proposal relating to sustainability and executive compensation	Shareholder	Against	Voted - Against
6. A shareholder proposal relating to policies on freedom of expression	Shareholder	Against	Voted - For

### ASML HOLDINGS N.V.

*Security ID: N07059210 Ticker: ASML*

Meeting Date: 22-Apr-20 Meeting Type: Annual

3A Financial statements, results and dividend: Advisory vote on the remuneration report for the Board of Management and the Supervisory Board for the financial year 2019	Management	For	Voted - For
3B Financial statements, results and dividend: Proposal to adopt the financial statements of the Company for the financial year 2019, as prepared in accordance with Dutch law	Management	For	Voted - For
3D Financial statements, results and dividend: Proposal to adopt a dividend in respect of the financial year 2019	Management	For	Voted - For
4A Discharge: Proposal to discharge the members of the Board of Management from liability for their responsibilities in the financial year 2019	Management	For	Voted - For
4B Discharge: Proposal to discharge the members of the Supervisory Board from liability for their responsibilities in the financial year 2019	Management	For	Voted - For
5 Proposal to approve the number of shares for the Board of Management	Management	For	Voted - For
6 Proposal to adopt certain adjustments to the Remuneration Policy for the Board of Management	Management	For	Voted - For
7 Proposal to adopt the Remuneration Policy for the Supervisory Board	Management	For	Voted - For
8D Composition of the Supervisory Board: Proposal to reappoint Ms. A.P. Aris as member of the Supervisory Board	Management	For	Voted - For
8E Composition of the Supervisory Board: Proposal to appoint Mr. D.M. Durcan as member of the Supervisory Board	Management	For	Voted - For
8F Composition of the Supervisory Board: Proposal to appoint Mr. D.W.A. East as member of the Supervisory Board	Management	For	Voted - For
9 Proposal to appoint KPMG Accountants N.V. as external auditor for the reporting year 2021	Management	For	Voted - For
10A Authorization to issue ordinary shares or grant rights to subscribe for ordinary shares up to 5% for general purposes	Management	For	Voted - For
10B Authorization of the Board of Management to restrict or exclude pre-emption rights in connection with agenda item 10 a)	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
10C Authorization to issue ordinary shares or grant rights to subscribe for ordinary shares up to 5% in connection with or on the occasion of mergers, acquisitions and/or (strategic) alliances	Management	For	Voted - For
10D Authorization of the Board of Management to restrict or exclude pre-emption rights in connection with agenda item 10 c)	Management	For	Voted - For
11A Authorization to repurchase ordinary shares up to 10% of the issued share capital	Management	For	Voted - For
11B Authorization to repurchase additional ordinary shares up to 10% of the issued share capital	Management	For	Voted - For
12 Proposal to cancel ordinary shares	Management	For	Voted - For

### BANK OF AMERICA CORPORATION

Security ID: 060505104 Ticker: BAC

Meeting Date: 22-Apr-20 Meeting Type: Annual

1A. Election of Director: Sharon L. Allen	Management	For	Voted - For
1B. Election of Director: Susan S. Bies	Management	For	Voted - For
1C. Election of Director: Jack O. Bovender, Jr.	Management	For	Voted - For
1D. Election of Director: Frank P. Bramble, Sr.	Management	For	Voted - For
1E. Election of Director: Pierre J.P. de Weck	Management	For	Voted - For
1F. Election of Director: Arnold W. Donald	Management	For	Voted - For
1G. Election of Director: Linda P. Hudson	Management	For	Voted - For
1H. Election of Director: Monica C. Lozano	Management	For	Voted - For
1I. Election of Director: Thomas J. May	Management	For	Voted - For
1J. Election of Director: Brian T. Moynihan	Management	For	Voted - For
1K. Election of Director: Lionel L. Nowell III	Management	For	Voted - For
1L. Election of Director: Denise L. Ramos	Management	For	Voted - For
1M. Election of Director: Clayton S. Rose	Management	For	Voted - For
1N. Election of Director: Michael D. White	Management	For	Voted - For
1O. Election of Director: Thomas D. Woods	Management	For	Voted - For
1P. Election of Director: R. David Yost	Management	For	Voted - For
1Q. Election of Director: Maria T. Zuber	Management	For	Voted - For
2. Approving Our Executive Compensation (an Advisory, Non-binding "Say on Pay" Resolution).	Management	For	Voted - For
3. Ratifying the Appointment of Our Independent Registered Public Accounting Firm for 2020.	Management	For	Voted - For
4. Make Shareholder Proxy Access More Accessible.	Shareholder	Against	Voted - Against
5. Adopt a New Shareholder Right - Written Consent	Shareholder	Against	Voted - Against
6. Report Concerning Gender/Racial Pay Equity.	Shareholder	Against	Voted - Against
7. Review of Statement of the Purpose of a Corporation and Report on Recommended Changes to Governance Documents, Policies, and Practices.	Shareholder	Against	Voted - Against

# City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>BECTON, DICKINSON AND COMPANY</b>			
<i>Security ID: 075887109 Ticker: BDX</i>			
Meeting Date: 28-Jan-20		Meeting Type: Annual	
1A. Election of Director: Catherine M. Burzik	Management	For	Voted - For
1B. Election of Director: R. Andrew Eckert	Management	For	Voted - For
1C. Election of Director: Vincent A. Forlenza	Management	For	Voted - For
1D. Election of Director: Claire M. Fraser	Management	For	Voted - For
1E. Election of Director: Jeffrey W. Henderson	Management	For	Voted - For
1F. Election of Director: Christopher Jones	Management	For	Voted - For
1G. Election of Director: Marshall O. Larsen	Management	For	Voted - For
1H. Election of Director: David F. Melcher	Management	For	Voted - For
1I. Election of Director: Thomas E. Polen	Management	For	Voted - For
1J. Election of Director: Claire Pomeroy	Management	For	Voted - For
1K. Election of Director: Rebecca W. Rimel	Management	For	Voted - For
1L. Election of Director: Timothy M. Ring	Management	For	Voted - For
1M. Election of Director: Bertram L. Scott	Management	For	Voted - For
2. Ratification of selection of independent registered public accounting firm.	Management	For	Voted - For
3. Advisory vote to approve named executive officer compensation.	Management	For	Voted - For
4. Approval of amendment to BD's 2004 Employee and Director Equity-Based Compensation Plan.	Management	For	Voted - For
5. Approval of French Addendum to BD'S 2004 Employee and Director Equity-Based Compensation Plan.	Management	For	Voted - For
6. Shareholder proposal regarding special shareholder meetings.	Shareholder	Against	Voted - For

## BERKSHIRE HATHAWAY INC.

*Security ID: 084670702 Ticker: BRKB*

Meeting Date: 02-May-20 Meeting Type: Annual

1.1 Director: Warren E. Buffett	Management	For	Voted - For
1.2 Director: Charles T. Munger	Management	For	Voted - For
1.3 Director: Gregory E. Abel	Management	For	Voted - For
1.4 Director: Howard G. Buffett	Management	For	Voted - For
1.5 Director: Stephen B. Burke	Management	For	Voted - For
1.6 Director: Kenneth I. Chenault	Management	For	Voted - For
1.7 Director: Susan L. Decker	Management	For	Voted - For
1.8 Director: David S. Gottesman	Management	For	Voted - For
1.9 Director: Charlotte Guyman	Management	For	Voted - For
1.10 Director: Ajit Jain	Management	For	Voted - For
1.11 Director: Thomas S. Murphy	Management	For	Voted - For
1.12 Director: Ronald L. Olson	Management	For	Voted - For
1.13 Director: Walter Scott, Jr.	Management	For	Voted - For
1.14 Director: Meryl B. Witmer	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
2. Non-binding resolution to approve the compensation of the Company's Named Executive Officers, as described in the 2020 Proxy Statement.	Management	For	Voted - For
3. Non-binding resolution to determine the frequency (whether annual, biennial or triennial) with which shareholders of the Company shall be entitled to have an advisory vote on executive compensation.	Management	For	Voted - 1 Year
4. Shareholder proposal regarding diversity.	Shareholder	Against	Voted - For

### CHARLES RIVER LABORATORIES INTL., INC.

Security ID: 159864107 Ticker: CRL

Meeting Date: 06-May-20 Meeting Type: Annual

1A. Election of Director: James C. Foster	Management	For	Voted - For
1B. Election of Director: Nancy C. Andrews	Management	For	Voted - For
1C. Election of Director: Robert J. Bertolini	Management	For	Voted - For
1D. Election of Director: Stephen D. Chubb	Management	For	Voted - For
1E. Election of Director: Deborah T. Kochevar	Management	For	Voted - For
1F. Election of Director: Martin W. MacKay	Management	For	Voted - For
1G. Election of Director: George E. Massaro	Management	For	Voted - For
1H. Election of Director: George M. Milne, Jr.	Management	For	Voted - For
1I. Election of Director: C. Richard Reese	Management	For	Voted - For
1J. Election of Director: Richard F. Wallman	Management	For	Voted - For
1K. Election of Director: Virginia M. Wilson	Management	For	Voted - For
2. Say on Pay - An advisory vote to approve our executive compensation.	Management	For	Voted - Against
3. Proposal to Amend the 2018 Incentive Plan.	Management	For	Voted - Against
4. Proposal to ratify the appointment of PricewaterhouseCoopers LLP as our independent registered public accountants for the fiscal year ending December 26, 2020	Management	For	Voted - For

### CHUBB LIMITED

Security ID: H1467J104 Ticker: CB

Meeting Date: 20-May-20 Meeting Type: Annual

1 Approval of the management report, standalone financial statements and consolidated financial statements of Chubb Limited for the year ended December 31, 2019	Management	For	Voted - For
2A Allocation of disposable profit	Management	For	Voted - For
2B Distribution of a dividend out of legal reserves (by way of release and allocation to a dividend reserve)	Management	For	Voted - For
3 Discharge of the Board of Directors	Management	For	Voted - For
4A Election of Auditor: Election of PricewaterhouseCoopers AG (Zurich) as our statutory auditor	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
4B Election of Auditor: Ratification of appointment of PricewaterhouseCoopers LLP (United States) as independent registered public accounting firm for purposes of U.S. securities law reporting	Management	For	Voted - For
4C Election of Auditor: Election of BDO AG (Zurich) as special audit firm	Management	For	Voted - For
5A Election of Director: Evan G. Greenberg	Management	For	Voted - For
5B Election of Director: Michael G. Atieh	Management	For	Voted - For
5C Election of Director: Sheila P. Burke	Management	For	Voted - For
5D Election of Director: James I. Cash	Management	For	Voted - For
5E Election of Director: Mary Cirillo	Management	For	Voted - For
5F Election of Director: Michael P. Connors	Management	For	Voted - For
5G Election of Director: John A. Edwardson	Management	For	Voted - For
5H Election of Director: Robert J. Hugin	Management	For	Voted - For
5I Election of Director: Robert W. Scully	Management	For	Voted - For
5J Election of Director: Eugene B. Shanks, Jr.	Management	For	Voted - For
5K Election of Director: Theodore E. Shasta	Management	For	Voted - For
5L Election of Director: David H. Sidwell	Management	For	Voted - For
5M Election of Director: Olivier Steimer	Management	For	Voted - For
5N Election of Director: Frances F. Townsend	Management	For	Voted - For
6 Election of Evan G. Greenberg as Chairman of the Board of Directors	Management	For	Voted - For
7A Election of the Compensation Committee of the Board of Directors: Michael P. Connors	Management	For	Voted - For
7B Election of the Compensation Committee of the Board of Directors: Mary Cirillo	Management	For	Voted - For
7C Election of the Compensation Committee of the Board of Directors: John A. Edwardson	Management	For	Voted - For
7D Election of the Compensation Committee of the Board of Directors: Frances F. Townsend	Management	For	Voted - For
8 Election of Homburger AG as independent proxy	Management	For	Voted - For
9 Amendment to the Articles of Association relating to authorized share capital for general purposes	Management	For	Voted - For
10 Reduction of share capital	Management	For	Voted - For
11A Compensation of the Board of Directors until the next annual general meeting	Management	For	Voted - For
11B Compensation of Executive Management for the next calendar year	Management	For	Voted - For
12 Advisory vote to approve executive compensation under U.S. securities law requirements	Management	For	Voted - Against
A If a new agenda item or a new proposal for an existing agenda item is put before the meeting, I/we hereby authorize and instruct the independent proxy to vote as follows.	Management	For	Voted - Against

### CINTAS CORPORATION

Security ID: 172908105 Ticker: CTAS

Meeting Date: 29-Oct-19 Meeting Type: Annual

1A. Election of Director: Gerald S. Adolph	Management	For	Voted - For
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## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1B. Election of Director: John F. Barrett	Management	For	Voted - For
1C. Election of Director: Melanie W. Barstad	Management	For	Voted - For
1D. Election of Director: Karen L. Carnahan	Management	For	Voted - For
1E. Election of Director: Robert E. Coletti	Management	For	Voted - For
1F. Election of Director: Scott D. Farmer	Management	For	Voted - For
1G. Election of Director: James J. Johnson	Management	For	Voted - For
1H. Election of Director: Joseph Scaminace	Management	For	Voted - For
1I. Election of Director: Ronald W. Tysoe	Management	For	Voted - For
2. To approve, on an advisory basis, named executive officer compensation.	Management	For	Voted - For
3. To ratify Ernst & Young LLP as our independent registered public accounting firm for fiscal year 2020.	Management	For	Voted - For
4. A shareholder proposal requesting the Company provide an annual report on political contributions, if properly presented at the meeting.	Shareholder	Against	Voted - Against

### CISCO SYSTEMS, INC.

Security ID: 17275R102 Ticker: CSCO

Meeting Date: 10-Dec-19 Meeting Type: Annual

1A. Election of Director: M. Michele Burns	Management	For	Voted - For
1B. Election of Director: Wesley G. Bush	Management	For	Voted - For
1C. Election of Director: Michael D. Capellas	Management	For	Voted - For
1D. Election of Director: Mark Garrett	Management	For	Voted - For
1E. Election of Director: Dr. Kristina M. Johnson	Management	For	Voted - For
1F. Election of Director: Roderick C. McGeary	Management	For	Voted - For
1G. Election of Director: Charles H. Robbins	Management	For	Voted - For
1H. Election of Director: Arun Sarin	Management	For	Voted - For
1I. Election of Director: Brenton L. Saunders	Management	For	Voted - For
1J. Election of Director: Carol B. Tomé	Management	For	Voted - For
2. Approval, on an advisory basis, of executive compensation.	Management	For	Voted - For
3. Ratification of PricewaterhouseCoopers LLP as Cisco's independent registered public accounting firm for fiscal 2020.	Management	For	Voted - For
4. Approval to have Cisco's Board adopt a policy to have an independent Board chairman.	Shareholder	Against	Voted - Against

### CME GROUP INC.

Security ID: 12572Q105 Ticker: CME

Meeting Date: 06-May-20 Meeting Type: Annual

1A. Election of Equity Director: Terrence A. Duffy	Management	For	Voted - For
1B. Election of Equity Director: Timothy S. Bitsberger	Management	For	Voted - For
1C. Election of Equity Director: Charles P. Carey	Management	For	Voted - For
1D. Election of Equity Director: Dennis H. Chookaszian	Management	For	Voted - For
1E. Election of Equity Director: Bryan T. Durkin	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1F. Election of Equity Director: Ana Dutra	Management	For	Voted - For
1G. Election of Equity Director: Martin J. Gepsman	Management	For	Voted - For
1H. Election of Equity Director: Larry G. Gerdes	Management	For	Voted - For
1I. Election of Equity Director: Daniel R. Glickman	Management	For	Voted - For
1J. Election of Equity Director: Daniel G. Kaye	Management	For	Voted - For
1K. Election of Equity Director: Phyllis M. Lockett	Management	For	Voted - For
1L. Election of Equity Director: Deborah J. Lucas	Management	For	Voted - For
1M. Election of Equity Director: Terry L. Savage	Management	For	Voted - For
1N. Election of Equity Director: Rahael Seifu	Management	For	Voted - For
1O. Election of Equity Director: William R. Shepard	Management	For	Voted - For
1P. Election of Equity Director: Howard J. Siegel	Management	For	Voted - For
1Q. Election of Equity Director: Dennis A. Suskind	Management	For	Voted - For
2. Ratification of the appointment of Ernst & Young as our independent registered public accounting firm for 2020.	Management	For	Voted - For
3. Advisory vote on the compensation of our named executive officers.	Management	For	Voted - For

### CMS ENERGY CORPORATION

Security ID: 125896100 Ticker: CMS

Meeting Date: 01-May-20 Meeting Type: Annual

1A. Election of Director: Jon E. Barfield	Management	For	Voted - For
1B. Election of Director: Deborah H. Butler	Management	For	Voted - For
1C. Election of Director: Kurt L. Darrow	Management	For	Voted - For
1D. Election of Director: William D. Harvey	Management	For	Voted - For
1E. Election of Director: Patricia K. Poppe	Management	For	Voted - For
1F. Election of Director: John G. Russell	Management	For	Voted - For
1G. Election of Director: Suzanne F. Shank	Management	For	Voted - For
1H. Election of Director: Myrna M. Soto	Management	For	Voted - For
1I. Election of Director: John G. Sznewajs	Management	For	Voted - For
1J. Election of Director: Ronald J. Tanski	Management	For	Voted - For
1K. Election of Director: Laura H. Wright	Management	For	Voted - For
2. Approve, on an advisory basis, the Company's executive compensation.	Management	For	Voted - For
3. Ratify the appointment of independent registered public accounting firm (PricewaterhouseCoopers LLP).	Management	For	Voted - For
4. Approve the 2020 Performance Incentive Stock Plan.	Management	For	Voted - For
5. Shareholder Proposal - Political Contributions Disclosure.	Shareholder	Against	Voted - Against

### COLGATE-PALMOLIVE COMPANY

Security ID: 194162103 Ticker: CL

Meeting Date: 08-May-20 Meeting Type: Annual

1a. Election of Director: John P. Bilbrey	Management	For	Voted - For
1b. Election of Director: John T. Cahill	Management	For	Voted - For
1c. Election of Director: Lisa M. Edwards	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1d. Election of Director: Helene D. Gayle	Management	For	Voted - For
1e. Election of Director: C. Martin Harris	Management	For	Voted - For
1f. Election of Director: Martina Hund-Mejean	Management	For	Voted - For
1g. Election of Director: Lorrie M. Norrington	Management	For	Voted - For
1h. Election of Director: Michael B. Polk	Management	For	Voted - For
1i. Election of Director: Stephen I. Sadove	Management	For	Voted - For
1j. Election of Director: Noel R. Wallace	Management	For	Voted - For
2. Ratify selection of PricewaterhouseCoopers LLP as Colgate's independent registered public accounting firm.	Management	For	Voted - For
3. Advisory vote on executive compensation.	Management	For	Voted - For
4. Stockholder proposal on independent Board Chairman.	Shareholder	Against	Voted - Against
5. Stockholder proposal to reduce the ownership threshold to call special stockholder meetings to 10%.	Shareholder	Against	Voted - For

### COMCAST CORPORATION

Security ID: 20030N101 Ticker: CMCSA

Meeting Date: 03-Jun-20 Meeting Type: Annual

1.1 Director: Kenneth J. Bacon	Management	For	Voted - For
1.2 Director: Madeline S. Bell	Management	For	Voted - For
1.3 Director: Naomi M. Bergman	Management	For	Voted - For
1.4 Director: Edward D. Breen	Management	For	Voted - For
1.5 Director: Gerald L. Hassell	Management	For	Voted - For
1.6 Director: Jeffrey A. Honickman	Management	For	Voted - For
1.7 Director: Maritza G. Montiel	Management	For	Voted - For
1.8 Director: Asuka Nakahara	Management	For	Voted - For
1.9 Director: David C. Novak	Management	For	Voted - For
1.10 Director: Brian L. Roberts	Management	For	Voted - For
2. Ratification of the appointment of our independent auditors	Management	For	Voted - For
3. Approval of Amended 2003 Stock Option Plan	Management	For	Voted - For
4. Approval of Amended 2002 Restricted Stock Plan	Management	For	Voted - For
5. Advisory vote on executive compensation	Management	For	Voted - For
6. To provide a lobbying report	Shareholder	Against	Voted - Against
7. To require an independent board chairman	Shareholder	Against	Voted - Against
8. To conduct independent investigation and report on risks posed by failing to prevent sexual harassment	Shareholder	Against	Voted - For

### COSTCO WHOLESALE CORPORATION

Security ID: 22160K105 Ticker: COST

Meeting Date: 22-Jan-20 Meeting Type: Annual

1.1 Director: Susan L. Decker	Management	For	Voted - For
1.2 Director: Richard A. Galanti	Management	For	Voted - For
1.3 Director: Sally Jewell	Management	For	Voted - For
1.4 Director: Charles T. Munger	Management	For	Voted - For



## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
2. Ratification of selection of independent auditors.	Management	For	Voted - For
3. Approval, on an advisory basis, of executive compensation.	Management	For	Voted - For
4. Approval to amend Articles of Incorporation to permit removal of directors without cause.	Management	For	Voted - For
5. Shareholder proposal regarding a director skills matrix and disclosure of director ideological perspectives.	Shareholder	Against	Voted - Against

### CVS HEALTH CORPORATION

Security ID: 126650100 Ticker: CVS

Meeting Date: 14-May-20 Meeting Type: Annual

1A. Election of Director: Fernando Aguirre	Management	For	Voted - For
1B. Election of Director: C. David Brown II	Management	For	Voted - For
1C. Election of Director: Alecia A. DeCoudreaux	Management	For	Voted - For
1D. Election of Director: Nancy-Ann M. DeParle	Management	For	Voted - For
1E. Election of Director: David W. Dorman	Management	For	Voted - For
1F. Election of Director: Roger N. Farah	Management	For	Voted - For
1G. Election of Director: Anne M. Finucane	Management	For	Voted - For
1H. Election of Director: Edward J. Ludwig	Management	For	Voted - For
1I. Election of Director: Larry J. Merlo	Management	For	Voted - For
1J. Election of Director: Jean-Pierre Millon	Management	For	Voted - For
1K. Election of Director: Mary L. Schapiro	Management	For	Voted - For
1L. Election of Director: William C. Weldon	Management	For	Voted - For
1M. Election of Director: Tony L. White	Management	For	Voted - For
2. Ratification of the appointment of our independent registered public accounting firm for 2020.	Management	For	Voted - For
3. Say on Pay, a proposal to approve, on an advisory basis, the Company's executive compensation.	Management	For	Voted - Against
4. Proposal to amend the Company's 2017 Incentive Compensation Plan to increase the number of shares authorized to be issued under the Plan.	Management	For	Voted - For
5. Proposal to amend the Company's 2007 Employee Stock Purchase Plan to increase the number of shares available for sale under the Plan.	Management	For	Voted - For
6. Stockholder proposal for reducing the ownership threshold to request a stockholder action by written consent.	Shareholder	Against	Voted - For
7. Stockholder proposal regarding our independent Board Chair.	Shareholder	Against	Voted - Against

### DANAHER CORPORATION

Security ID: 235851102 Ticker: DHR

Meeting Date: 05-May-20 Meeting Type: Annual

1A. Election of Director: Linda Hefner Filler	Management	For	Voted - For
1B. Election of Director: Thomas P. Joyce, Jr.	Management	For	Voted - For
1C. Election of Director: Teri List-Stoll	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1D. Election of Director: Walter G. Lohr, Jr.	Management	For	Voted - For
1E. Election of Director: Jessica L. Mega, MD, MPH	Management	For	Voted - For
1F. Election of Director: Pardis C. Sabeti, MD, D. Phil.	Management	For	Voted - For
1G. Election of Director: Mitchell P. Rales	Management	For	Voted - For
1H. Election of Director: Steven M. Rales	Management	For	Voted - For
1I. Election of Director: John T. Schwieters	Management	For	Voted - For
1J. Election of Director: Alan G. Spoon	Management	For	Voted - For
1K. Election of Director: Raymond C. Stevens, Ph.D.	Management	For	Voted - For
1L. Election of Director: Elias A. Zerhouni, MD	Management	For	Voted - For
2. To ratify the selection of Ernst & Young LLP as Danaher's independent registered public accounting firm for the year ending December 31, 2020	Management	For	Voted - For
3. To approve on an advisory basis the Company's named executive officer compensation	Management	For	Voted - For
4. To act upon a shareholder proposal requesting that Danaher amend its governing documents to reduce the percentage of shares required for shareholders to call a special meeting of shareholders from 25% to 10%	Shareholder	Against	Voted - For

### EDWARDS LIFESCIENCES CORPORATION

Security ID: 28176E108 Ticker: EW

Meeting Date: 07-May-20 Meeting Type: Annual

1A. Election of Director: Michael A. Mussallem	Management	For	Voted - For
1B. Election of Director: Kieran T. Gallahue	Management	For	Voted - For
1C. Election of Director: Leslie S. Heisz	Management	For	Voted - For
1D. Election of Director: William J. Link, Ph.D.	Management	For	Voted - For
1E. Election of Director: Steven R. Loranger	Management	For	Voted - For
1F. Election of Director: Martha H. Marsh	Management	For	Voted - For
1G. Election of Director: Ramona Sequeira	Management	For	Voted - For
1H. Election of Director: Nicholas J. Valeriani	Management	For	Voted - For
2. ADVISORY VOTE TO APPROVE COMPENSATION OF NAMED EXECUTIVE OFFICERS.	Management	For	Voted - For
3. APPROVAL OF THE 2020 NONEMPLOYEE DIRECTORS STOCK INCENTIVE PROGRAM.	Management	For	Voted - For
4. APPROVAL OF AMENDMENT OF THE CERTIFICATE OF INCORPORATION TO INCREASE THE NUMBER OF AUTHORIZED SHARES OF COMMON STOCK FOR THE PURPOSE OF EFFECTING A THREE-FOR- ONE STOCK SPLIT.	Management	For	Voted - For
5. RATIFICATION OF APPOINTMENT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM.	Management	For	Voted - For
6. ADVISORY VOTE ON A STOCKHOLDER PROPOSAL REGARDING ACTION BY WRITTEN CONSENT.	Shareholder	Against	Voted - Against

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>EQUINIX, INC.</b>			
<i>Security ID: 29444U700 Ticker: EQIX</i>			
Meeting Date: 18-Jun-20		Meeting Type: Annual	
1.1 Director: Thomas Bartlett	Management	For	Voted - For
1.2 Director: Nanci Caldwell	Management	For	Voted - For
1.3 Director: Adaire Fox-Martin	Management	For	Voted - For
1.4 Director: Gary Hromadko	Management	For	Voted - For
1.5 Director: William Luby	Management	For	Voted - For
1.6 Director: Irving Lyons III	Management	For	Voted - For
1.7 Director: Charles Meyers	Management	For	Voted - For
1.8 Director: Christopher Paisley	Management	For	Voted - For
1.9 Director: Sandra Rivera	Management	For	Voted - For
1.10 Director: Peter Van Camp	Management	For	Voted - For
2. To approve, by a non-binding advisory vote, the compensation of Equinix's named executive officers.	Management	For	Voted - For
3. To approve the Equinix, Inc. 2020 Equity Incentive Plan.	Management	For	Voted - For
4. To ratify the appointment of PricewaterhouseCoopers LLP as Equinix's independent registered public accounting firm for the fiscal year ending December 31, 2020.	Management	For	Voted - For
5. Stockholder proposal related to political contributions disclosure and oversight.	Shareholder	Against	Voted - Against

### ESSEX PROPERTY TRUST, INC.

*Security ID: 297178105 Ticker: ESS*

Meeting Date: 12-May-20 Meeting Type: Annual

1.1 Director: Keith R. Guericke	Management	For	Voted - For
1.2 Director: Maria R. Hawthorne	Management	For	Voted - For
1.3 Director: Amal M. Johnson	Management	For	Voted - For
1.4 Director: Mary Kasaris	Management	For	Voted - For
1.5 Director: Irving F. Lyons, III	Management	For	Voted - For
1.6 Director: George M. Marcus	Management	For	Voted - For
1.7 Director: Thomas E. Robinson	Management	For	Voted - For
1.8 Director: Michael J. Schall	Management	For	Voted - For
1.9 Director: Byron A. Scordelis	Management	For	Voted - For
2. Ratification of the appointment of KPMG LLP as the independent registered public accounting firm for the Company for the year ending December 31, 2020.	Management	For	Voted - For
3. Advisory vote to approve the Company's named executive officer compensation.	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>FACEBOOK, INC.</b>			
<i>Security ID: 30303M102 Ticker: FB</i>			
Meeting Date: 27-May-20		Meeting Type: Annual	
1.1 Director: Peggy Alford	Management	For	Voted - For
1.2 Director: Marc L. Andreessen	Management	For	Voted - For
1.3 Director: Andrew W. Houston	Management	For	Voted - For
1.4 Director: Nancy Killefer	Management	For	Voted - For
1.5 Director: Robert M. Kimmitt	Management	For	Voted - For
1.6 Director: Sheryl K. Sandberg	Management	For	Voted - For
1.7 Director: Peter A. Thiel	Management	For	Voted - For
1.8 Director: Tracey T. Travis	Management	For	Voted - For
1.9 Director: Mark Zuckerberg	Management	For	Voted - For
2. To ratify the appointment of Ernst & Young LLP as Facebook, Inc.'s independent registered public accounting firm for the fiscal year ending December 31, 2020.	Management	For	Voted - For
3. To approve the director compensation policy.	Management	For	Voted - For
4. A stockholder proposal regarding change in stockholder voting.	Shareholder	Against	Voted - For
5. A stockholder proposal regarding an independent chair.	Shareholder	Against	Voted - Against
6. A stockholder proposal regarding majority voting for directors.	Shareholder	Against	Voted - For
7. A stockholder proposal regarding political advertising.	Shareholder	Against	Voted - For
8. A stockholder proposal regarding human/civil rights expert on board.	Shareholder	Against	Voted - For
9. A stockholder proposal regarding report on civil and human rights risks.	Shareholder	Against	Voted - For
10. A stockholder proposal regarding child exploitation.	Shareholder	Against	Voted - For
11. A stockholder proposal regarding median gender/racial pay gap.	Shareholder	Against	Voted - For
<b>HONEYWELL INTERNATIONAL INC.</b>			
<i>Security ID: 438516106 Ticker: HON</i>			
Meeting Date: 27-Apr-20		Meeting Type: Annual	
1A. Election of Director: Darius Adamczyk	Management	For	Voted - For
1B. Election of Director: Duncan B. Angove	Management	For	Voted - For
1C. Election of Director: William S. Ayer	Management	For	Voted - For
1D. Election of Director: Kevin Burke	Management	For	Voted - For
1E. Election of Director: D. Scott Davis	Management	For	Voted - For
1F. Election of Director: Linnet F. Deily	Management	For	Voted - For
1G. Election of Director: Deborah Flint	Management	For	Voted - For
1H. Election of Director: Judd Gregg	Management	For	Voted - For
1I. Election of Director: Clive Hollick	Management	For	Voted - For
1J. Election of Director: Grace D. Lieblein	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1K. Election of Director: Raymond T. Odierno	Management	For	Voted - For
1L. Election of Director: George Paz	Management	For	Voted - For
1M. Election of Director: Robin L. Washington	Management	For	Voted - For
2. Advisory Vote to Approve Executive Compensation.	Management	For	Voted - For
3. Approval of Independent Accountants.	Management	For	Voted - For
4. Let Shareholders Vote on Bylaw Amendments.	Shareholder	Against	Voted - Against
5. Report on Lobbying Activities and Expenditures.	Shareholder	Against	Voted - Against

### INGERSOLL-RAND PLC

*Security ID: G47791101 Ticker: IR*

Meeting Date: 04-Feb-20 Meeting Type: Special

1. To vote to pass a special resolution to change the Company's name to Trane Technologies plc on such date as determined by the Chairman and Senior Vice President and General Counsel of the Company.	Management	For	Voted - For
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### JOHNSON & JOHNSON

*Security ID: 478160104 Ticker: JNJ*

Meeting Date: 23-Apr-20 Meeting Type: Annual

1A. Election of Director: Mary C. Beckerle	Management	For	Voted - For
1B. Election Of Director: D. Scott Davis	Management	For	Voted - For
1C. Election of Director: Ian E. L. Davis	Management	For	Voted - For
1D. Election of Director: Jennifer A. Doudna	Management	For	Voted - For
1E. Election of Director: Alex Gorsky	Management	For	Voted - For
1F. Election of Director: Marillyn A. Hewson	Management	For	Voted - For
1G. Election of Director: Hubert Joly	Management	For	Voted - For
1H. Election of Director: Mark B. McClellan	Management	For	Voted - For
1I. Election of Director: Anne M. Mulcahy	Management	For	Voted - For
1J. Election of Director: Charles Prince	Management	For	Voted - For
1K. Election of Director: A. Eugene Washington	Management	For	Voted - For
1L. Election of Director: Mark A. Weinberger	Management	For	Voted - For
1M. Election of Director: Ronald A. Williams	Management	For	Voted - For
2. Advisory Vote to Approve Named Executive Officer Compensation.	Management	For	Voted - For
3. Ratification of Appointment of PricewaterhouseCoopers LLP as the Independent Registered Public Accounting Firm for 2020.	Management	For	Voted - For
4. Amendment to the Restated Certificate of Incorporation to Permit Removal of Directors Without Cause.	Management	For	Voted - For
5. Independent Board Chair	Shareholder	Against	Voted - Against
6. Report on Governance of Opioids-Related Risks	Shareholder	Against	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>JPMORGAN CHASE &amp; CO.</b>			
<i>Security ID: 46625H100 Ticker: JPM</i>			
Meeting Date: 19-May-20		Meeting Type: Annual	
1A. Election of Director: Linda B. Bammann	Management	For	Voted - For
1B. Election of Director: Stephen B. Burke	Management	For	Voted - For
1C. Election of Director: Todd A. Combs	Management	For	Voted - For
1D. Election of Director: James S. Crown	Management	For	Voted - For
1E. Election of Director: James Dimon	Management	For	Voted - For
1F. Election of Director: Timothy P. Flynn	Management	For	Voted - For
1G. Election of Director: Mellody Hobson	Management	For	Voted - For
1H. Election of Director: Michael A. Neal	Management	For	Voted - For
1I. Election of Director: Lee R. Raymond	Management	For	Voted - For
1J. Election of Director: Virginia M. Rometty	Management	For	Voted - For
2. Advisory resolution to approve executive compensation	Management	For	Voted - For
3. Ratification of independent registered public accounting firm	Management	For	Voted - For
4. Independent board chairman	Shareholder	Against	Voted - Against
5. Oil and gas company and project financing related to the Arctic and the Canadian oil sands	Shareholder	Against	Voted - Against
6. Climate change risk reporting	Shareholder	Against	Voted - For
7. Amend shareholder written consent provisions	Shareholder	Against	Voted - For
8. Charitable contributions disclosure	Shareholder	Against	Voted - Against
9. Gender/Racial pay equity	Shareholder	Against	Voted - Against

### LINDE PLC

*Security ID: G5494J103 Ticker: LIN*

Meeting Date: 26-Jul-19

Meeting Type: Annual

1a. Election of Director: Prof. Dr. Wolfgang Reitzle	Management	For	Voted - For
1b. Election of Director: Stephen F. Angel	Management	For	Voted - For
1c. Election of Director: Prof. DDr. Ann-Kristin Achleitner	Management	For	Voted - For
1d. Election of Director: Prof. Dr. Clemens Börsig	Management	For	Voted - For
1e. Election of Director: Dr. Nance K. Dicciani	Management	For	Voted - For
1f. Election of Director: Dr. Thomas Enders	Management	For	Voted - For
1g. Election of Director: Franz Fehrenbach	Management	For	Voted - For
1h. Election of Director: Edward G. Galante	Management	For	Voted - For
1i. Election of Director: Larry D. McVay	Management	For	Voted - For
1j. Election of Director: Dr. Victoria Ossadnik	Management	For	Voted - For
1k. Election of Director: Prof. Dr. Martin H. Richenhagen	Management	For	Voted - For
1l. Election of Director: Robert L. Wood	Management	For	Voted - For
2a. To ratify, on an advisory and non-binding basis, the appointment of PricewaterhouseCoopers ("PWC") as the independent auditor.	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
2b. To authorize the Board, acting through the Audit Committee, to determine PWC's remuneration.	Management	For	Voted - For
3. To determine the price range at which Linde plc can re-allot shares that it acquires as treasury shares under Irish law.	Management	For	Voted - For
4. To approve, on an advisory and non-binding basis, the compensation of Linde plc's Named Executive Officers, as disclosed in the 2019 Proxy Statement.	Management	For	Voted - For
5. To recommend, on an advisory and non-binding basis, the frequency of holding future advisory shareholder votes on the compensation of Linde plc's Named Executive Officers.	Management	1 Year	Voted - 1 Year

### MASTERCARD INCORPORATED

Security ID: 57636Q104 Ticker: MA

Meeting Date: 16-Jun-20 Meeting Type: Annual

1A. Election of Director: Richard Haythornthwaite	Management	For	Voted - For
1B. Election of Director: Ajay Banga	Management	For	Voted - For
1C. Election of Director: Richard K. Davis	Management	For	Voted - For
1D. Election of Director: Steven J. Freiberg	Management	For	Voted - For
1E. Election of Director: Julius Genachowski	Management	For	Voted - For
1F. Election of Director: Choon Phong Goh	Management	For	Voted - For
1G. Election of Director: Merit E. Janow	Management	For	Voted - For
1H. Election of Director: Oki Matsumoto	Management	For	Voted - For
1I. Election of Director: Youngme Moon	Management	For	Voted - For
1J. Election of Director: Rima Qureshi	Management	For	Voted - For
1K. Election of Director: José Octavio Reyes Lagunes	Management	For	Voted - For
1L. Election of Director: Gabrielle Sulzberger	Management	For	Voted - For
1M. Election of Director: Jackson Tai	Management	For	Voted - For
1N. Election of Director: Lance Uggla	Management	For	Voted - For
2. Advisory approval of Mastercard's executive compensation	Management	For	Voted - For
3. Ratification of the appointment of PricewaterhouseCoopers LLP as the independent registered public accounting firm for Mastercard for 2020	Management	For	Voted - For

### MCDONALD'S CORPORATION

Security ID: 580135101 Ticker: MCD

Meeting Date: 21-May-20 Meeting Type: Annual

1A. Election of Director for a one-year term expiring in 2021: Lloyd Dean	Management	For	Voted - For
1B. Election of Director for a one-year term expiring in 2021: Robert Eckert	Management	For	Voted - For
1C. Election of Director for a one-year term expiring in 2021: Catherine Engelbert	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1D. Election of Director for a one-year term expiring in 2021: Margaret Georgiadis	Management	For	Voted - For
1E. Election of Director for a one-year term expiring in 2021: Enrique Hernandez, Jr.	Management	For	Voted - For
1F. Election of Director for a one-year term expiring in 2021: Christopher Kempczinski	Management	For	Voted - For
1G. Election of Director for a one-year term expiring in 2021: Richard Lenny	Management	For	Voted - For
1H. Election of Director for a one-year term expiring in 2021: John Mulligan	Management	For	Voted - For
1I. Election of Director for a one-year term expiring in 2021: Sheila Penrose	Management	For	Voted - For
1J. Election of Director for a one-year term expiring in 2021: John Rogers, Jr.	Management	For	Voted - For
1K. Election of Director for a one-year term expiring in 2021: Paul Walsh	Management	For	Voted - For
1L. Election of Director for a one-year term expiring in 2021: Miles White	Management	For	Voted - For
2. Advisory vote to approve executive compensation.	Management	For	Voted - Against
3. Advisory vote to approve the appointment of Ernst & Young LLP as independent auditor for 2020.	Management	For	Voted - For
4. Vote to approve the Company's Amended and Restated 2012 Omnibus Stock Ownership Plan.	Management	For	Voted - For
5. Advisory vote on a shareholder proposal requesting to change the thresholds to call special shareholder meetings, if properly presented.	Shareholder	Against	Voted - For
6. Advisory vote on a shareholder proposal requesting the Board issue a report on sugar and public health, if properly presented.	Shareholder	Against	Voted - Against

### MICROSOFT CORPORATION

Security ID: 594918104 Ticker: MSFT

Meeting Date: 04-Dec-19 Meeting Type: Annual

1A. Election of Director: William H. Gates III	Management	For	Voted - For
1B. Election of Director: Reid G. Hoffman	Management	For	Voted - For
1C. Election of Director: Hugh F. Johnston	Management	For	Voted - For
1D. Election of Director: Teri L. List-Stoll	Management	For	Voted - For
1E. Election of Director: Satya Nadella	Management	For	Voted - For
1F. Election of Director: Sandra E. Peterson	Management	For	Voted - For
1G. Election of Director: Penny S. Pritzker	Management	For	Voted - For
1H. Election of Director: Charles W. Scharf	Management	For	Voted - For
1I. Election of Director: Arne M. Sorenson	Management	For	Voted - For
1J. Election of Director: John W. Stanton	Management	For	Voted - For
1K. Election of Director: John W. Thompson	Management	For	Voted - For
1L. Election of Director: Emma Walmsley	Management	For	Voted - For
1M. Election of Director: Padmasree Warrior	Management	For	Voted - For
2. Advisory vote to approve named executive officer compensation	Management	For	Voted - For
3. Ratification of Deloitte & Touche LLP as our independent auditor for fiscal year 2020	Management	For	Voted - For



## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
4. Shareholder Proposal - Report on Employee Representation on Board of Directors	Shareholder	Against	Voted - Against
5. Shareholder Proposal - Report on Gender Pay Gap	Shareholder	Against	Voted - Against

### NEXTERA ENERGY, INC.

Security ID: 65339F101 Ticker: NEE

Meeting Date: 21-May-20 Meeting Type: Annual

1A. Election of Director: Sherry S. Barrat	Management	For	Voted - For
1B. Election of Director: James L. Camaren	Management	For	Voted - For
1C. Election of Director: Kenneth B. Dunn	Management	For	Voted - For
1D. Election of Director: Naren K. Gursahaney	Management	For	Voted - For
1E. Election of Director: Kirk S. Hachigian	Management	For	Voted - For
1F. Election of Director: Toni Jennings	Management	For	Voted - For
1G. Election of Director: Amy B. Lane	Management	For	Voted - For
1H. Election of Director: David L. Porges	Management	For	Voted - For
1I. Election of Director: James L. Robo	Management	For	Voted - For
1J. Election of Director: Rudy E. Schupp	Management	For	Voted - For
1K. Election of Director: John L. Skolds	Management	For	Voted - For
1L. Election of Director: William H. Swanson	Management	For	Voted - For
1M. Election of Director: Darryl L. Wilson	Management	For	Voted - For
2. Ratification of appointment of Deloitte & Touche LLP as NextEra Energy's independent registered public accounting firm for 2020	Management	For	Voted - For
3. Approval, by non-binding advisory vote, of NextEra Energy's compensation of its named executive officers as disclosed in the proxy statement	Management	For	Voted - For
4. A proposal entitled "Political Contributions Disclosure" to request semiannual reports disclosing political contribution policies and expenditures	Shareholder	Against	Voted - Against
5. A proposal entitled "Right to Act by Written Consent" to request action by written consent of shareholders	Shareholder	Against	Voted - For

### NORTHROP GRUMMAN CORPORATION

Security ID: 666807102 Ticker: NOC

Meeting Date: 20-May-20 Meeting Type: Annual

1A. Election of Director: Kathy J. Warden	Management	For	Voted - For
1B. Election of Director: Marianne C. Brown	Management	For	Voted - For
1C. Election of Director: Donald E. Felsing	Management	For	Voted - For
1D. Election of Director: Ann M. Fudge	Management	For	Voted - For
1E. Election of Director: Bruce S. Gordon	Management	For	Voted - For
1F. Election of Director: William H. Hernandez	Management	For	Voted - For
1G. Election of Director: Madeleine A. Kleiner	Management	For	Voted - For
1H. Election of Director: Karl J. Krapek	Management	For	Voted - For
1I. Election of Director: Gary Roughead	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1J. Election of Director: Thomas M. Schoewe	Management	For	Voted - For
1K. Election of Director: James S. Turley	Management	For	Voted - For
1L. Election of Director: Mark A. Welsh III	Management	For	Voted - For
2. Proposal to approve, on an advisory basis, the compensation of the Company's Named Executive Officers.	Management	For	Voted - For
3. Proposal to ratify the appointment of Deloitte & Touche LLP as the Company's Independent Auditor for fiscal year ending December 31, 2020.	Management	For	Voted - For
4. Shareholder proposal that the Company assess and report on potential human rights impacts that could result from governments' use of the Company's products and services, including in conflict-affected areas.	Shareholder	Against	Voted - Against
5. Shareholder proposal to move to a 3% ownership threshold for shareholders to request action by written consent.	Shareholder	Against	Voted - For

### PEPSICO, INC.

Security ID: 713448108 Ticker: PEP

Meeting Date: 06-May-20 Meeting Type: Annual

1A. Election of Director: Shona L. Brown	Management	For	Voted - For
1B. Election of Director: Cesar Conde	Management	For	Voted - For
1C. Election of Director: Ian Cook	Management	For	Voted - For
1D. Election of Director: Dina Dublon	Management	For	Voted - For
1E. Election of Director: Richard W. Fisher	Management	For	Voted - For
1F. Election of Director: Michelle Gass	Management	For	Voted - For
1G. Election of Director: Ramon L. Laguarta	Management	For	Voted - For
1H. Election of Director: David C. Page	Management	For	Voted - For
1I. Election of Director: Robert C. Pohlrad	Management	For	Voted - For
1J. Election of Director: Daniel Vasella	Management	For	Voted - For
1K. Election of Director: Darren Walker	Management	For	Voted - For
1L. Election of Director: Alberto Weisser	Management	For	Voted - For
2. Ratification of the appointment of KPMG LLP as the Company's independent registered public accounting firm for fiscal year 2020.	Management	For	Voted - For
3. Advisory approval of the Company's executive compensation.	Management	For	Voted - For
4. Shareholder Proposal - Reduce Ownership Threshold to Call Special Shareholder Meetings.	Shareholder	Against	Voted - For
5. SHAREHOLDER PROPOSAL - REPORT ON SUGAR AND PUBLIC HEALTH.	Shareholder	Against	Voted - Against

### PULTEGROUP, INC.

Security ID: 745867101 Ticker: PHM

Meeting Date: 07-May-20 Meeting Type: Annual

1A. Election of Director: Brian P. Anderson	Management	For	Voted - For
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## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1B. Election of Director: Bryce Blair	Management	For	Voted - For
1C. Election of Director: Richard W. Dreiling	Management	For	Voted - For
1D. Election of Director: Thomas J. Folliard	Management	For	Voted - For
1E. Election of Director: Cheryl W. Grisé	Management	For	Voted - For
1F. Election of Director: André J. Hawaux	Management	For	Voted - For
1G. Election of Director: Ryan R. Marshall	Management	For	Voted - For
1H. Election of Director: John R. Peshkin	Management	For	Voted - For
1I. Election of Director: Scott F. Powers	Management	For	Voted - For
1J. Election of Director: Lila Snyder	Management	For	Voted - For
2. Ratification of appointment of Ernst & Young LLP as our independent registered public accounting firm for 2020.	Management	For	Voted - For
3. Say-on-pay: Advisory vote to approve executive compensation.	Management	For	Voted - For

### RAYTHEON COMPANY

Security ID: 755111507 Ticker: RTN

Meeting Date: 11-Oct-19 Meeting Type: Special

1. Raytheon merger proposal: To adopt the Agreement and Plan of Merger, dated as of June 9, 2019, by and among United Technologies Corporation, Light Merger Sub Corp. and Raytheon Company (the "merger agreement").	Management	For	Voted - For
2. Raytheon merger-related compensation proposal: To approve, by advisory (non-binding) vote, certain compensation arrangements that may be paid or become payable to Raytheon Company's named executive officers in connection with the merger contemplated by the merger agreement.	Management	For	Voted - For
3. Raytheon adjournment proposal: To approve the adjournment of the Special Meeting of Stockholders of Raytheon Company to a later date or dates, if necessary or appropriate, to solicit additional proxies in the event there are not sufficient votes at the time of the Special Meeting of Stockholders of Raytheon Company to adopt the Raytheon merger proposal.	Management	For	Voted - For

### ROPER TECHNOLOGIES, INC.

Security ID: 776696106 Ticker: ROP

Meeting Date: 08-Jun-20 Meeting Type: Annual

1.1 Director: Shellye L. Archambeau	Management	For	Voted - For
1.2 Director: Amy Woods Brinkley	Management	For	Voted - For
1.3 Director: John F. Fort, III	Management	For	Voted - For
1.4 Director: L. Neil Hunn	Management	For	Voted - For
1.5 Director: Robert D. Johnson	Management	For	Voted - For
1.6 Director: Robert E. Knowling, Jr.	Management	For	Voted - For
1.7 Director: Wilbur J. Prezzano	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1.8 Director: Laura G. Thatcher	Management	For	Voted - For
1.9 Director: Richard F. Wallman	Management	For	Voted - For
1.10 Director: Christopher Wright	Management	For	Voted - For
2. To consider, on a non-binding advisory basis, a resolution approving the compensation of our named executive officers.	Management	For	Voted - Against
3. To ratify the appointment of PricewaterhouseCoopers LLP as the independent registered public accounting firm for the year ending December 31, 2020.	Management	For	Voted - For
4. To approve an amendment to the Roper Technologies, Inc. Director Compensation Plan.	Management	For	Voted - For

### STRYKER CORPORATION

Security ID: 863667101 Ticker: SYK

Meeting Date: 05-May-20 Meeting Type: Annual

1A. Election of Director: Mary K. Brainerd	Management	For	Voted - For
1B. Election of Director: Srikant M. Datar, Ph.D.	Management	For	Voted - For
1C. Election of Director: Roch Doliveux, DVM	Management	For	Voted - For
1D. Election of Director: Allan C. Golston(Lead Independent Director)	Management	For	Voted - For
1E. Election of Director: Kevin A. Lobo(Chairman of the Board)	Management	For	Voted - For
1F. Election of Director: Sherilyn S. McCoy	Management	For	Voted - For
1G. Election of Director: Andrew K. Silvernail	Management	For	Voted - For
1H. Election of Director: Lisa M. Skeete Tatum	Management	For	Voted - For
1I. Election of Director: Ronda E. Stryker	Management	For	Voted - For
1J. Election of Director: Rajeev Suri	Management	For	Voted - For
2. Ratify appointment of Ernst & Young LLP as our independent registered public accounting firm for 2020.	Management	For	Voted - For
3. Advisory vote to approve named executive officer compensation.	Management	For	Voted - For
4. Non-management employee representation on the Board of Directors.	Management	Against	Voted - Against

### SUNTRUST BANKS, INC.

Security ID: 867914103 Ticker: STI

Meeting Date: 30-Jul-19 Meeting Type: Special

1. To approve the Amended Agreement and Plan of Merger, dated as of February 7, 2019, as amended as of June 14, 2019 (as further amended from time to time, the "Merger Agreement"), by and between BB&T Corporation, a North Carolina corporation, and SunTrust Banks, Inc., a Georgia corporation ("SunTrust") (the "SunTrust merger proposal").	Management	For	Voted - For
2. To approve, on an advisory (non-binding) basis, the executive officer compensation that will or may be paid to SunTrust's named executive officers in			

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
connection with the transactions contemplated by the Merger Agreement.	Management	For	Voted - For
3. To adjourn the SunTrust special meeting, if necessary or appropriate, to solicit additional proxies if, immediately prior to such adjournment, there are not sufficient votes to approve the SunTrust merger proposal or to ensure that any supplement or amendment to the accompanying joint proxy statement/prospectus is timely provided to holders of SunTrust common stock and holders of SunTrust preferred stock.	Management	For	Voted - For

### THE BOEING COMPANY

Security ID: 097023105 Ticker: BA

Meeting Date: 27-Apr-20 Meeting Type: Annual

1A. Election of Director: Robert A. Bradway	Management	For	Voted - For
1B. Election of Director: David L. Calhoun	Management	For	Voted - For
1C. Election of Director: Arthur D. Collins Jr.	Management	For	Voted - For
1D. Election of Director: Edmund P. Giambastiani Jr.	Management	For	Voted - For
1E. Election of Director: Lynn J. Good	Management	For	Voted - For
1F. Election of Director: Nikki R. Haley	Management	For	Voted - For
1G. Election of Director: Akhil Johri	Management	For	Voted - For
1H. Election of Director: Lawrence W. Kellner	Management	For	Voted - For
1I. Election of Director: Caroline B. Kennedy	Management	For	Voted - For
1J. Election of Director: Steven M. Mollenkopf	Management	For	Voted - For
1K. Election of Director: John M. Richardson	Management	For	Voted - For
1L. Election of Director: Susan C. Schwab	Management	For	Voted - For
1M. Election of Director: Ronald A. Williams	Management	For	Voted - For
2. Approve, on an Advisory Basis, Named Executive Officer Compensation.	Management	For	Voted - Against
3. Ratify the Appointment of Deloitte & Touche LLP as Independent Auditor for 2020.	Management	For	Voted - For
4. Disclosure of Director Skills, Ideological Perspectives, and Experience and Minimum Director Qualifications.	Shareholder	Against	Voted - Against
5. Additional Report on Lobbying Activities.	Shareholder	Against	Voted - Against
6. Policy Requiring Independent Board Chairman.	Shareholder	Against	Voted - Against
7. Written Consent.	Shareholder	Against	Voted - For
8. Mandatory Retention of Significant Stock by Executives.	Shareholder	Against	Voted - Against
9. Additional Disclosure of Compensation Adjustments.	Shareholder	Against	Voted - Against

### THE HOME DEPOT, INC.

Security ID: 437076102 Ticker: HD

Meeting Date: 21-May-20 Meeting Type: Annual

1A. Election of Director: Gerard J. Arpey	Management	For	Voted - For
1B. Election of Director: Ari Bousbib	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1C. Election of Director: Jeffery H. Boyd	Management	For	Voted - For
1D. Election of Director: Gregory D. Brenneman	Management	For	Voted - For
1E. Election of Director: J. Frank Brown	Management	For	Voted - For
1F. Election of Director: Albert P. Carey	Management	For	Voted - For
1G. Election of Director: Helena B. Foulkes	Management	For	Voted - For
1H. Election of Director: Linda R. Gooden	Management	For	Voted - For
1I. Election of Director: Wayne M. Hewett	Management	For	Voted - For
1J. Election of Director: Manuel Kadre	Management	For	Voted - For
1K. Election of Director: Stephanie C. Linnartz	Management	For	Voted - For
1L. Election of Director: Craig A. Menear	Management	For	Voted - For
2. Ratification of the Appointment of KPMG LLP	Management	For	Voted - For
3. Advisory Vote to Approve Executive Compensation ("Say-on-Pay")	Management	For	Voted - For
4. Shareholder Proposal Regarding Amendment of Shareholder Written Consent Right	Shareholder	Against	Voted - For
5. Shareholder Proposal Regarding EEO-1 Disclosure	Shareholder	Against	Voted - Against
6. Shareholder Proposal Regarding Executive Ownership Guidelines	Shareholder	Against	Voted - Against
7. Shareholder Proposal Regarding Electioneering Contributions Congruency Analysis	Shareholder	Against	Voted - Against

### THE PNC FINANCIAL SERVICES GROUP, INC.

Security ID: 693475105 Ticker: PNC

Meeting Date: 28-Apr-20 Meeting Type: Annual

1A. Election of Director: Joseph Alvarado	Management	For	Voted - For
1B. Election of Director: Charles E. Bunch	Management	For	Voted - For
1C. Election of Director: Debra A. Cafaro	Management	For	Voted - For
1D. Election of Director: Marjorie Rodgers Cheshire	Management	For	Voted - For
1E. Election of Director: William S. Demchak	Management	For	Voted - For
1F. Election of Director: Andrew T. Feldstein	Management	For	Voted - For
1G. Election of Director: Richard J. Harshman	Management	For	Voted - For
1H. Election of Director: Daniel R. Hesse	Management	For	Voted - For
1I. Election of Director: Linda R. Medler	Management	For	Voted - For
1J. Election of Director: Martin Pfinsgraff	Management	For	Voted - For
1K. Election of Director: Toni Townes-Whitley	Management	For	Voted - For
1L. Election of Director: Michael J. Ward	Management	For	Voted - For
2. Ratification of the Audit Committee's selection of PricewaterhouseCoopers LLP as PNC's independent registered public accounting firm for 2020.	Management	For	Voted - For
3. Advisory vote to approve named executive officer compensation.	Management	For	Voted - For
4. Approval of The PNC Financial Services Group, Inc. Employee Stock Purchase Plan, as amended and restated January 1, 2020.	Management	For	Voted - For

# City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>THE WALT DISNEY COMPANY</b>			
<i>Security ID: 254687106 Ticker: DIS</i>			
Meeting Date: 11-Mar-20		Meeting Type: Annual	
1A. Election of Director: Susan E. Arnold	Management	For	Voted - For
1B. Election of Director: Mary T. Barra	Management	For	Voted - For
1C. Election of Director: Safra A. Catz	Management	For	Voted - For
1D. Election of Director: Francis A. deSouza	Management	For	Voted - For
1E. Election of Director: Michael B.G. Froman	Management	For	Voted - For
1F. Election of Director: Robert A. Iger	Management	For	Voted - For
1G. Election of Director: Maria Elena Lagomasino	Management	For	Voted - For
1H. Election of Director: Mark G. Parker	Management	For	Voted - For
1I. Election of Director: Derica W. Rice	Management	For	Voted - For
2. To ratify the appointment of PricewaterhouseCoopers LLP as the Company's registered public accountants for fiscal 2020.	Management	For	Voted - For
3. To approve the advisory resolution on executive compensation.	Management	For	Voted - Against
4. To approve an amendment to the Company's Amended and Restated 2011 Stock Incentive Plan.	Management	For	Voted - For
5. Shareholder proposal requesting an annual report disclosing information regarding the Company's lobbying policies and activities.	Shareholder	Against	Voted - Against

## THERMO FISHER SCIENTIFIC INC.

*Security ID: 883556102 Ticker: TMO*

Meeting Date: 20-May-20 Meeting Type: Annual

1A. Election of Director: Marc N. Casper	Management	For	Voted - For
1B. Election of Director: Nelson J. Chai	Management	For	Voted - For
1C. Election of Director: C. Martin Harris	Management	For	Voted - For
1D. Election of Director: Tyler Jacks	Management	For	Voted - For
1E. Election of Director: Judy C. Lewent	Management	For	Voted - For
1F. Election of Director: Thomas J. Lynch	Management	For	Voted - For
1G. Election of Director: Jim P. Manzi	Management	For	Voted - For
1H. Election of Director: James C. Mullen	Management	For	Voted - For
1I. Election of Director: Lars R. Sørensen	Management	For	Voted - For
1J. Election of Director: Debora L. Spar	Management	For	Voted - For
1K. Election of Director: Scott M. Sperling	Management	For	Voted - For
1L. Election of Director: Dion J. Weisler	Management	For	Voted - For
2. An advisory vote to approve named executive officer compensation.	Management	For	Voted - For
3. Ratification of the Audit Committee's selection of PricewaterhouseCoopers LLP as the Company's independent auditors for 2020.	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
<b>T-MOBILE US, INC.</b>			
<i>Security ID: 872590104 Ticker: TMUS</i>			
Meeting Date: 04-Jun-20		Meeting Type: Annual	
1.1 Director: Marcelo Claire	Management	For	Voted - For
1.2 Director: Srikant M. Datar	Management	For	Voted - For
1.3 Director: Ronald D. Fisher	Management	For	Voted - For
1.4 Director: Srinii Gopalan	Management	For	Voted - For
1.5 Director: Lawrence H. Guffey	Management	For	Voted - For
1.6 Director: Timotheus Höttges	Management	For	Voted - For
1.7 Director: Christian P. Illek	Management	For	Voted - For
1.8 Director: Stephen R. Kappes	Management	For	Voted - For
1.9 Director: Raphael Kübler	Management	For	Voted - For
1.10 Director: Thorsten Langheim	Management	For	Voted - For
1.11 Director: G. Michael Sievert	Management	For	Voted - For
1.12 Director: Teresa A. Taylor	Management	For	Voted - For
1.13 Director: Kelvin R. Westbrook	Management	For	Voted - For
2. Ratification of the Appointment of PricewaterhouseCoopers LLP as the Company's Independent Registered Public Accounting Firm for Fiscal Year 2020.	Management	For	Voted - For
3. Advisory Vote to Approve the Compensation Provided to the Company's Named Executive Officers for 2019.	Management	For	Voted - Against
4. Stockholder Proposal for Limitations on Accelerated Vesting of Equity Awards in the Event of a Change of Control.	Shareholder	Against	Voted - Against

### TRANE TECHNOLOGIES PLC

*Security ID: G8994E103 Ticker: TT*

Meeting Date: 04-Jun-20 Meeting Type: Annual

1A. Election of Director: Kirk E. Arnold	Management	For	Voted - For
1B. Election of Director: Ann C. Berzin	Management	For	Voted - For
1C. Election of Director: John Bruton	Management	For	Voted - For
1D. Election of Director: Jared L. Cohon	Management	For	Voted - For
1E. Election of Director: Gary D. Forsee	Management	For	Voted - For
1F. Election of Director: Linda P. Hudson	Management	For	Voted - For
1G. Election of Director: Michael W. Lamach	Management	For	Voted - For
1H. Election of Director: Myles P. Lee	Management	For	Voted - For
1I. Election of Director: Karen B. Peetz	Management	For	Voted - For
1J. Election of Director: John P. Surma	Management	For	Voted - For
1K. Election of Director: Richard J. Swift	Management	For	Voted - For
1L. Election of Director: Tony L. White	Management	For	Voted - For
2. Advisory approval of the compensation of the Company's named executive officers.	Management	For	Voted - For
3. Approval of the appointment of independent auditors of the Company and authorization of the Audit			



## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
Committee of the Board of Directors to set the auditors' remuneration.	Management	For	Voted - For
4. Approval of the renewal of the Directors' existing authority to issue shares.	Management	For	Voted - For
5. Approval of the renewal of the Directors' existing authority to issue shares for cash without first offering shares to existing shareholders. (Special Resolution)	Management	For	Voted - For
6. Determination of the price range at which the Company can re-allot shares that it holds as treasury shares. (Special Resolution)	Management	For	Voted - For

### UNITEDHEALTH GROUP INCORPORATED

Security ID: 91324P102 Ticker: UNH

Meeting Date: 01-Jun-20 Meeting Type: Annual

1A. Election of Director: Richard T. Burke	Management	For	Voted - For
1B. Election of Director: Timothy P. Flynn	Management	For	Voted - For
1C. Election of Director: Stephen J. Hemsley	Management	For	Voted - For
1D. Election of Director: Michele J. Hooper	Management	For	Voted - For
1E. Election of Director: F. William McNabb III	Management	For	Voted - For
1F. Election of Director: Valerie C. Montgomery Rice, M.D.	Management	For	Voted - For
1G. Election of Director: John H. Noseworthy, M.D.	Management	For	Voted - For
1H. Election of Director: Glenn M. Renwick	Management	For	Voted - For
1I. Election of Director: David S. Wichmann	Management	For	Voted - For
1J. Election of Director: Gail R. Wilensky, Ph.D.	Management	For	Voted - For
2. Advisory approval of the Company's executive compensation.	Management	For	Voted - For
3. Ratification of the appointment of Deloitte & Touche LLP as the independent registered public accounting firm for the Company for the year ending December 31, 2020.	Management	For	Voted - For
4. Approval of the UnitedHealth Group 2020 Stock Incentive Plan.	Management	For	Voted - For
5. If properly presented at the 2020 Annual Meeting of Shareholders, the shareholder proposal set forth in the proxy statement requesting any material amendment to the Company's Bylaws be subject to a non-binding shareholder vote.	Shareholder	Against	Voted - Against

### VISA INC.

Security ID: 92826C839 Ticker: V

Meeting Date: 28-Jan-20 Meeting Type: Annual

1A. Election of Director: Lloyd A. Carney	Management	For	Voted - For
1B. Election of Director: Mary B. Cranston	Management	For	Voted - For
1C. Election of Director: Francisco Javier Fernández-Carbajal	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1D. Election of Director: Alfred F. Kelly, Jr.	Management	For	Voted - For
1E. Election of Director: Ramon L. Laguarda	Management	For	Voted - For
1F. Election of Director: John F. Lundgren	Management	For	Voted - For
1G. Election of Director: Robert W. Matschullat	Management	For	Voted - For
1H. Election of Director: Denise M. Morrison	Management	For	Voted - For
1I. Election of Director: Suzanne Nora Johnson	Management	For	Voted - For
1J. Election of Director: John A. C. Swainson	Management	For	Voted - For
1K. Election of Director: Maynard G. Webb, Jr.	Management	For	Voted - For
2. Advisory vote to approve executive compensation.	Management	For	Voted - For
3. Ratification of the appointment of KPMG LLP as our independent registered public accounting firm for the 2020 fiscal year.	Management	For	Voted - For

### WALMART INC.

Security ID: 931142103 Ticker: WMT

Meeting Date: 03-Jun-20 Meeting Type: Annual

1A. Election of Director: Cesar Conde	Management	For	Voted - For
1B. Election of Director: Timothy P. Flynn	Management	For	Voted - For
1C. Election of Director: Sarah J. Friar	Management	For	Voted - For
1D. Election of Director: Carla A. Harris	Management	For	Voted - For
1E. Election of Director: Thomas W. Horton	Management	For	Voted - For
1F. Election of Director: Marissa A. Mayer	Management	For	Voted - For
1G. Election of Director: C. Douglas McMillon	Management	For	Voted - For
1H. Election of Director: Gregory B. Penner	Management	For	Voted - For
1I. Election of Director: Steven S Reinemund	Management	For	Voted - For
1J. Election of Director: S. Robson Walton	Management	For	Voted - For
1K. Election of Director: Steuart L. Walton	Management	For	Voted - For
2. Advisory Vote to Approve Named Executive Officer Compensation	Management	For	Voted - Against
3. Ratification of Ernst & Young LLP as Independent Accountants	Management	For	Voted - For
4. Approval of the Amendment to the ASDA Sharesave Plan 2000	Management	For	Voted - For
5. Report on Impacts of Single-Use Plastic Bags	Shareholder	Against	Voted - Against
6. Report on Supplier Antibiotics Use Standards	Shareholder	Against	Voted - Against
7. Policy to Include Hourly Associates as Director Candidates	Shareholder	Against	Voted - Against
8. Report on Strengthening Prevention of Workplace Sexual Harassment	Shareholder	Against	Voted - Against

### ZOETIS INC.

Security ID: 98978V103 Ticker: ZTS

Meeting Date: 20-May-20 Meeting Type: Annual

1A. Election of Director: Gregory Norden	Management	For	Voted - For
1B. Election of Director: Louise M. Parent	Management	For	Voted - For
1C. Election of Director: Kristin C. Peck	Management	For	Voted - For

## City National Rochdale U.S. Core Equity Fund

Proposal	Proposed by	Mgt. Position	Registrant Voted
1D. Election of Director: Robert W. Scully	Management	For	Voted - For
2. Advisory vote to approve our executive compensation (Say on Pay).	Management	For	Voted - For
3. Advisory vote on the frequency of future advisory votes on executive compensation (Say on Pay frequency).	Management	1 Year	Voted - 1 Year
4. Ratification of appointment of KPMG LLP as our independent registered public accounting firm for 2020.	Management	For	Voted - For

## SIGNATURES

Pursuant to the requirements of the Investment Company Act of 1940, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

### **City National Rochdale Funds**

By: /s/ Garrett R. D'Alessandro  
Garrett R. D'Alessandro  
President and Chief Executive Officer  
Date: August XX, 2020